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# V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> composite films and surface-coated LiCoO<sub>2</sub> for enhanced Li-ion intercalation properties

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**V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> COMPOSITE FILMS AND SURFACE-COATED  
LiCoO<sub>2</sub> FOR ENHANCED Li-ION INTERCALATION  
PROPERTIES**

A Thesis

Submitted to the Graduate Faculty of the  
Louisiana State University and  
Agricultural and Mechanical College  
in partial fulfillment of the  
requirements for the degree of  
Master of Science in Mechanical Engineering

in

The Department of Mechanical Engineering

By

Chuan Cai

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## Abstract

We have investigated the enhanced Li-ion intercalation properties of two different materials, which are  $V_2O_5$ - $WO_3$  composite and surface-coated  $LiCoO_2$ . A simple and novel solution processing method is employed to prepare  $V_2O_5$ - $WO_3$  composite films that demonstrate enhanced Li-ion intercalation properties for applications in Li-ion batteries or electrochromic displays. This solution processing method employs precursors that only contain the elements of V, W, O and H, which avoids impurity elements such as Na that has been commonly used in other solution methods (e.g. using precursors of sodium metavanadate and sodium tungstate solution). The  $V_2O_5$ - $WO_3$  composite films show enhanced Li-ion intercalation properties compared to pure  $V_2O_5$  and  $WO_3$  films. For example, at a high current density of 1.33 A/g, the  $V_2O_5$ - $WO_3$  film with a  $V_2O_5$ / $WO_3$  molar ratio of 10/1 exhibits the highest discharge capacities of 200 mA•h/g at the first cycle and 132 mA•h/g after 50 cycles, while pure  $V_2O_5$  film delivers discharge capacities of 108 mA•h/g at the first cycle and 122 mA•h/g after 50 cycles. The enhanced capacity of the composite films is ascribed to the reduced crystallinity, increased porosity and thus the enhanced surface area. Both cyclic voltammogram and chronopotentiometric curves of the  $V_2O_5$ - $WO_3$  film with a molar ratio of 10:1 are distinctively different from those of pure oxide films, suggesting a different Li-ion intercalation process in the  $V_2O_5$ - $WO_3$  film with the molar ratio of 10:1.

For surface-coated  $LiCoO_2$ , a molten salt synthesis method is employed to prepare  $LiCoO_2$  powders with submicron size, and ultrathin conformal  $Al_2O_3$  or  $ZnO$

layers are coated on  $\text{LiCoO}_2$  electrodes by utilizing atomic layer deposition. The  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode exhibits improved cycling stability during the electrochemical measurements, while the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode does not show an improved cycling performance. The  $\text{Al}_2\text{O}_3$  coating can prevent the direct contact between  $\text{LiCoO}_2$  and the electrolyte, and thus suppress the cobalt dissolution and side reaction. Therefore,  $\text{LiCoO}_2$  with nanosized thin  $\text{Al}_2\text{O}_3$  coating exhibits improved cycling performance. In contrast, the  $\text{ZnO}$  coating is not electrochemically stable, so the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode exhibits a similar cycling performance with the bare  $\text{LiCoO}_2$  electrode.



## **Chapter1 Introduction**

### **1.1 General Background**

With the shortage of fossil fuels and associated price increases, it is more and more urgent to find new energy sources to alleviate the increasing pressure on an already stretched world energy infrastructure. Renewable energy technologies such as solar cells, fuel cells, and bio-fuels have achieved significant progress, although these energy sources had been marginalized before. It is expected that oil and gasoline will not serve as our primary fuel in the coming decades when alternative energy sources are more practical and price competitive. However, the renewable energy technologies have not reached widespread use up until now, because they are limited by the properties of the materials in the designed sophisticated device structures. For example, poor charge and mass transport properties significantly limit the energy and power density in portable electric power sources. The energy conversion efficiency of organic photovoltaic cells is less than 6% due to poor charge carrier mobilities in organic/polymer semiconductors [1]. More efficient energy conversion devices and high-density energy/power storage can be achieved by utilizing new materials that are chemically modified through molecular or atomic engineering and/or possess unique microstructures.

Electrochemical energy storage and conversion has been considered seriously as one alternative energy/power source, as long as this energy consumption will be more sustainable and more environmentally benign. Li-ion batteries are a representative system for such electrochemical energy production. At present, Li-ion batteries are

widely used in portable electronic devices such as laptop computers, digital cameras and cellular phones due to their high efficiency, light weight and rechargeable property [2]. Moreover, they have been intensively studied as power supplies in devices requiring high energy and high power densities such as electric vehicles (EVs) and hybrid electric vehicles (HEVs). However, the power density of Li-ion batteries is relatively low especially at high charging-discharging rates in despite of the high energy density [3]. The low power density is ascribed to a large polarization caused by slow lithium diffusion in the active material and high resistance in the electrolyte. In addition, the dissolution of electrode materials and resulting structure change deteriorate the cycling performances of the Li-ion batteries. To overcome these problems, it is important to design and fabricate composite electrode materials with electrochemically stable structures, enhanced ionic transport and electronic conduction.

## **1.2 Lithium-Ion Batteries**

An electrochemical battery, mainly composed of an anode, a cathode, and an electrolyte, converts chemical potential to electric energy through Faradaic reactions. These reactions including heterogeneous charge transfer occur at the surface of an electrode [4]. The electrochemical batteries are broadly divided into two groups: primary batteries that are single-use devices and cannot be recharged; secondary batteries that are also called rechargeable batteries and can be recharged many times. For typical energy storage in a secondary battery, faradaic reactions take place at the surface of an electrode, and mass and charge transfer through the electrode. Therefore,

the performance of the battery depends on the surface area and the transport distance. Moreover, the surface reaction, transfer processes and their cyclic stability are significantly influenced by chemical composition, crystal structure, and microstructure.

Intercalation electrodes in batteries consist of electrochemically active materials that serve as a host solid. Guest species such as Li ions from an electrolyte can intercalate into the host solid reversibly. Intercalation compounds are a special family of materials. This kind of materials provide a crystalline host lattice that contains an interconnected system of empty lattice sites with appropriate size, and mobile guest species (atoms, molecules or ions) can intercalate into the lattice reversibly without destroying the structural integrity of host lattice [5]. It has been found that the intercalation reactions occur in various host lattice structures around room temperature [6]. Layered host lattices have high structural flexibility and are able to adapt the geometry of the intercalated guest species by free adjustment of the interlayer separation. All the layer hosts are characterized by strong interlayer covalent bonding and weak interlayer intercalations including van der Waals force or electrostatic attraction through oppositely charged species between two layers. Metal dichalcogenides, metal oxyhalides, metal phosphorous trisulphides, metal oxides, metal phosphates, hydrogen phosphates, phosphonates, graphite and layered clay minerals usually serve as host lattices; on the other hand, metal ions, organic molecules and organometallic molecules can be used as guest materials. The incorporation of guest species brings various structural changes to host lattices. The

principle geometrical transitions of layered host lattice matrices upon intercalation of guest species include: (1) change in interlayer spacing; (2) change in the stacking mode of the layers; (3) formation of intermediate phases at low guest concentrations that may exhibit staging [7]. A variety of synthesis methods have been developed for the formation of intercalation compounds [5,8]. The direct reaction of the guest species with the host lattice is the simplest and most commonly used method, and in which the intercalation reagent must be good reducing agents of the host crystals [9]. A guest ion in an intercalation compound can be replaced with another guest ion by ion exchange, which offers a useful route for intercalating large ions that do not directly intercalate [10]. The ion exchange reactions are facilitated by flocculating and reflocculating the host structure as long as appropriate solvents or electrolytes are chosen [11]. Another important method to prepare intercalation compounds is electrointercalation in which the host lattice serves as the cathode of an electrochemical cell [12]. For example, the formation of vanadium bronzes can be achieved by electrochemical lithium intercalation together with compensating electrons as follows:

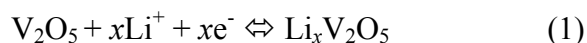


Figure 1.1 shows the principal concept of Li-ion batteries. It typically consists of a negative lithium intercalation material (anode) and another lithium intercalation material with a more positive redox potential (cathode). The anode and cathode are separated by the electrolyte. The electrolyte must be an electronic insulator but a Li-ion conductor.

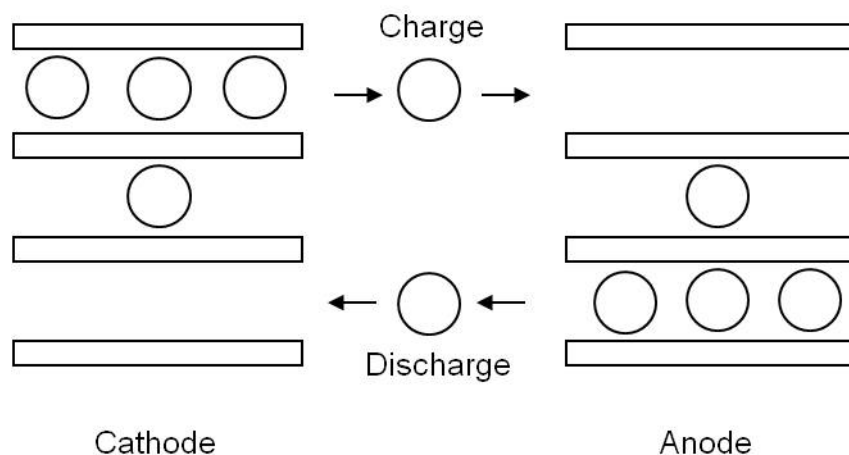


Figure 1.1 Schematic illustration of a Li-ion battery.

Lithium ions are extracted from the cathode and inserted into the anode when the battery is charged. This process takes place reversely when the battery is discharged. Metallic lithium was used as the anode in early batteries due to its very negative redox potential and low weight. It was later replaced by carbon because of safety concerns. Both cell life and safety are improved with the replacement of the metallic lithium or carbon by lithium intercalation compounds. Three fundamental requirements must be fulfilled for electrode materials to reach the goal of a high specific energy: (1) a high specific charge and discharge density, i.e., a high number of available charge carriers per mass and volume unit of the material; (2) a high cell voltage, resulting from a high (cathode) and low (anode) standard redox potential of the respective electrode redox reaction; and (3) a high reversibility of electrochemical reactions at both cathodes and anodes to maintain the specific charge for hundreds of charge/discharge cycles. Numerous lithium intercalation electrodes have been proposed for a rechargeable lithium cell since the early 1970s. This thesis focuses on the cathode materials, which are much less developed than anodes [13].

### 1.3 Typical Cathode Materials

There are two categories of cathode materials. Layered compounds such as  $\text{LiTiS}_2$ ,  $\text{LiCoO}_2$ ,  $\text{LiNi}_{1-x}\text{Co}_x\text{O}_2$ , and  $\text{LiNi}_x\text{Mn}_x\text{Co}_{1-2x}\text{O}_2$  belong to one group. They have anion close-packed lattice; transition metal cations occupy alternate the layers, and remaining empty layers are occupied by lithium ions. The spinels with the transition metal cations ordered in all the layers are also considered to be in this group. The other group of cathode materials has more open structures, such as vanadium oxides, tunnel compounds of manganese oxides, and transition metal phosphates (e.g., the olivine  $\text{LiFePO}_4$ ). This group of materials generally provides better safety and lower cost.

#### 1.3.1 Vanadium Pentoxide

Vanadium pentoxide ( $\text{V}_2\text{O}_5$ ) is a typical intercalation compound because of its layered structure. As shown in Figure 1.2, orthorhombic crystalline  $\text{V}_2\text{O}_5$  consists of layers of  $\text{VO}_5$  square pyramids that share edges and corners [14-15]. Low cost, abundant source, easy synthesis, and high energy densities are essential advantages of  $\text{V}_2\text{O}_5$  for Li-ion intercalation applications. Whittingham first reported the reversible electrochemical lithium intercalation into  $\text{V}_2\text{O}_5$  at room temperature in 1975 [16].  $\text{V}_2\text{O}_5$  exhibits several phases when different amounts of lithium are inserted.  $\text{Li}_x\text{V}_2\text{O}_5$  shows  $\alpha$ -phase and  $\epsilon$ -phases for  $x < 0.01$  and  $0.35 < x < 0.7$ , respectively. These two phases show a similar structure with  $\text{V}_2\text{O}_5$  in spite of increasing puckering of the layers [17].

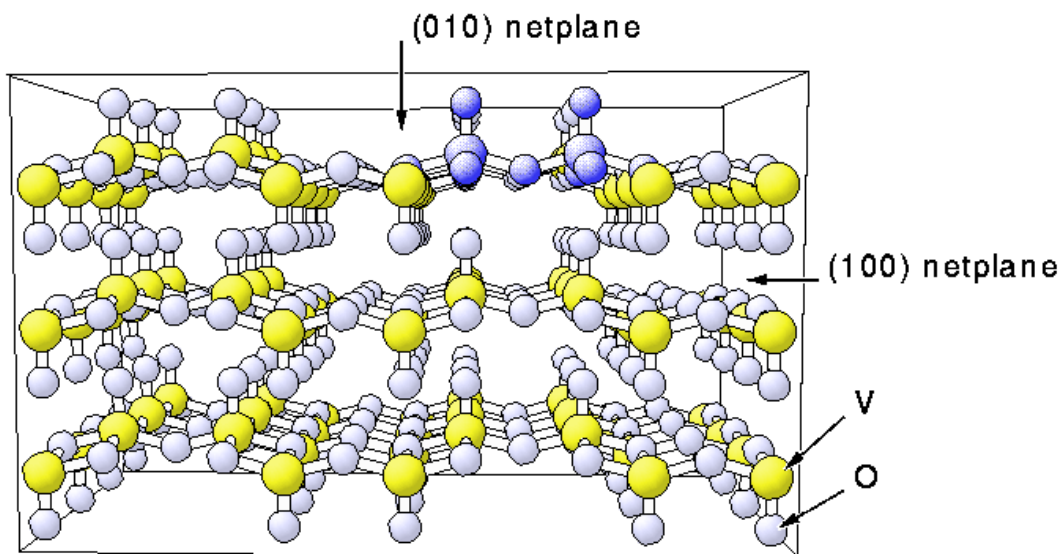


Figure 1.2 Crystal structure of  $V_2O_5$

(<http://www.fhi-berlin.mpg.de/~hermann/Balsac/BalsacPictures/V2O5bulk.gif>).

For  $x=1$ ,  $LiV_2O_5$  shows  $\delta$ -phase which forms by the gliding of one layer out of two [18]. For  $x \leq 1$ , the original  $V_2O_5$  structure can be recovered upon lithium deintercalation, and the phase transitions are fully reversible [19]. However, for further lithium intercalation ( $x > 1$ ),  $\delta$ -phase transforms to  $\gamma$ -phase dominated by a reconstruction mechanism, and this transformation is not reversible [17]. The  $\gamma$ -type structure of  $Li_xV_2O_5$  can be maintained with the stoichiometric range  $0 < x < 2$  [20-21]. Upon further intercalation of a third lithium,  $\omega$ -phase with a rock-salt type structure substitutes the  $\gamma$  phase, and this transformation is also irreversible. This  $\omega$ -phase can be prepared either electrochemically at a potential of about 1.2 V vs.  $Li/Li^+$  or chemically using an excess of butyl lithium [22-23]. Almost all the lithium from the  $\omega$ -phase can be electrochemically deintercalated again. A specific energy of up to 900 Wh/kg is demonstrated by the resulting compound, and the specific energy

maintains more than 450 Wh/kg after 100 cycles in a voltage range between 3.4 V and 1.9 V. Therefore,  $\omega$ - $\text{Li}_x\text{V}_2\text{O}_5$  showed great potential as positive electrode material for secondary lithium batteries [24]. Hydrated vanadium pentoxide ( $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$ ) such as  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  xerogels [25,26] and  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  aerogels [27] can also deliver high Li intercalation capacity. Li-ion cells with a xerogel cathode demonstrate specific energies of over 700 Wh/kg [26]. However, crystalline  $\text{V}_2\text{O}_5$  suffers from low Li-ion diffusion coefficient ( $10^{-12} \text{ cm}^2/\text{s}$ ) and the electronic conductivity ( $10^{-2} \sim 10^{-3} \text{ S/cm}$ ) and cannot sustain a large specific capacity at high charge/discharge rates [28-30]. Many studies have been conducted to improve Li-ion diffusion and electronic conduction in  $\text{V}_2\text{O}_5$  by synthesizing the oxide with a more open crystal structure [31-33] or by incorporating highly conductive materials into  $\text{V}_2\text{O}_5$  [34-36].

### 1.3.2 Lithium Cobalt Oxide

Lithium cobalt oxide ( $\text{LiCoO}_2$ ) belongs to the first group of cathode materials and is the most popular for Li-ion batteries owing to the high energy density, relatively stable cycling performance and simplicity of preparation. Both solid-state and wet chemical approaches can synthesize  $\text{LiCoO}_2$  conveniently [37-39]. The  $\text{Li}_x\text{CoO}_2$  exhibits excellent cyclability at room temperature for  $0.5 < x < 1$ . However, the capacity retention decreases seriously when more Li is deintercalated from  $\text{Li}_x\text{CoO}_2$  ( $x < 0.5$ ) [40]. There are two reasons for the capacity fading of  $\text{LiCoO}_2$ . The first concern is the oxidization of  $\text{Co}^{3+}$ . As lithium is extracted from  $\text{LiCoO}_2$ ,  $\text{Co}^{3+}$  is oxidized to unstable  $\text{Co}^{4+}$  during the charge process. The cathode crystallinity deteriorates with high concentration of  $\text{Co}^{4+}$ , and the c-axis dimension decreases



because of this phase transition. The second concern is the dissolution of  $\text{Co}^{3+}$ .  $\text{LiCoO}_2$  decomposes in the electrolyte solution, which directly reduces the amount of effective cathode material, and thus leads to significant capacity degradation [41,42]. In order to improve the structural stability of  $\text{LiCoO}_2$ , coatings of carbon or various oxides such as  $\text{Al}_2\text{O}_3$  [40] and  $\text{ZnO}$  [43] have been studied and significant improvements in capacity retention have been demonstrated.

#### **1.4 Atomic Layer Deposition Techniques**

Most surface modifications of cathode materials reported in literature are carried out with wet chemical methods [44-46], such as soaking cathode materials into 2-propanol containing aluminum tri 2-propoxide followed by heat treatment [46]. These wet methods are not well-controlled processes. The resulting coatings lack conformality, uniformity, and completeness. There is no precise control of thickness or weight ratio to the cathode materials, limiting the repeatability and reliability of these processes. As discussed in section 1.3.2, the capacity retention of  $\text{LiCoO}_2$  can be enhanced by surfacing coating of various oxides such as  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$ . The improvement of the coated  $\text{LiCoO}_2$  significantly depends on the property of the coatings such as coverage rate and thickness [47-48]. Therefore, it is very important to utilize a deposition technique which can control the properties of coating conveniently and precisely.

Atomic layer deposition (ALD) was developed in the 1970s as a method to grow thin electroluminescent films on large and non-planar substrates [49]. ALD began to attract considerable attention in the following decades due to its ability to grow

ultrathin and highly-conformal layers with atomic-scale thickness and composition control [50-52]. The fundamental advantage of ALD is that the film growth is surface-controlled, rather than source-controlled as in chemical vapor deposition (CVD) or molecular beam epitaxy (MBE). This is achieved by using sequential exposures, separating the (usually binary) reaction between the precursor compounds into two half-reactions. During each half reaction only one monolayer of the reactant chemisorbs (or is chemically bound) on the surface. If the substrate temperature is kept sufficiently high, further layers which are only physisorbed are removed by an inert purge gas purge before the other reactant is introduced. As a result, the process proceeds step-wise in self-limiting surface reactions, separated by purge steps. Each full reaction cycle leads to the formation of one monolayer. Therefore, films grown using ALD are typically uniform, dense, homogenous, pinhole-free, and extremely conformal to the underlying substrate. composite films [53], as shown in Figure 1.3.

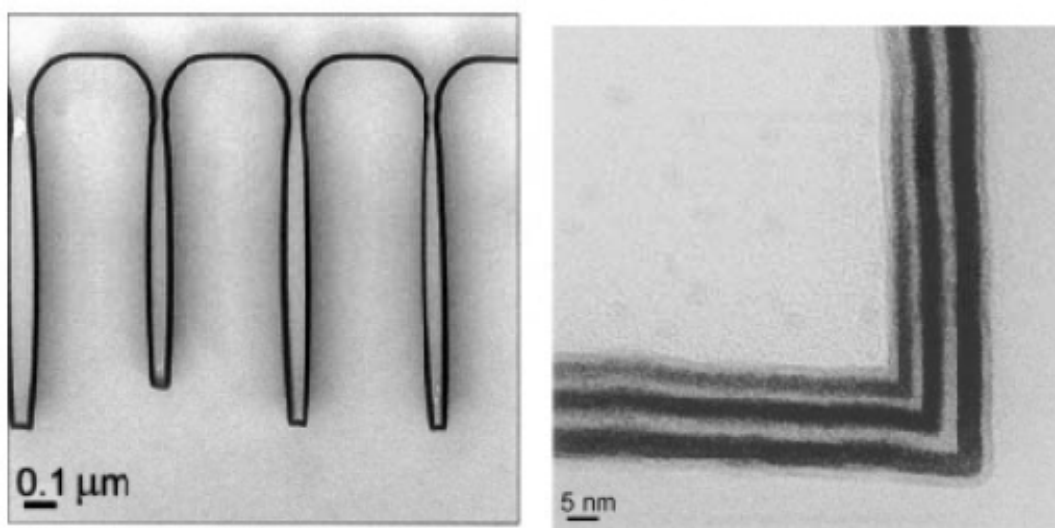


Figure 1.3 TEM images of  $\text{Hf}_x\text{Al}_y\text{O}_2$  composite structure. Right image is detail of bottom corner [53].

Moreover, the film thickness is conveniently controlled by the number of reaction cycles and the growth rate mainly depends on the length of each exposure/purge cycle. Therefore, ALD is unique in the sense that it allows for the growth of conformal films even on substrates with complex surface geometries. It has been used to infiltrate pores of anodized alumina membranes [54] and deposit high-aspect ratio multilayer.

Figure 1.4 shows the process of growing ZnO film with the ALD method. The two precursors, H<sub>2</sub>O and Zn(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub> (DEtZn), are introduced separately into the reactor, and the ZnO grows layer by layer. ALD growth of ZnO is based on the binary chemical vapor deposition reaction between DEtZn and water:

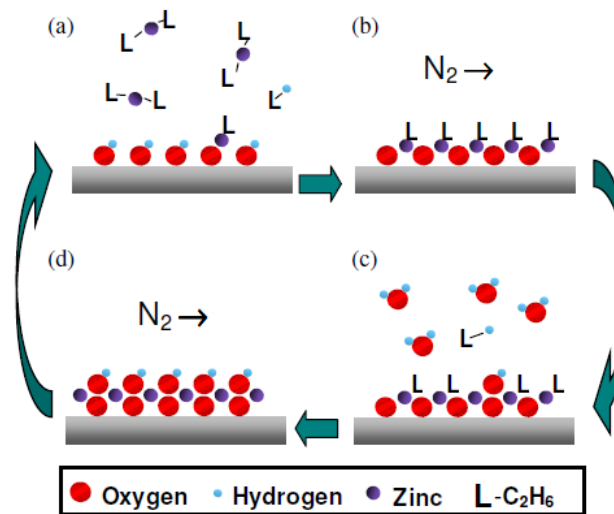
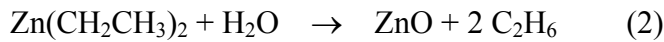
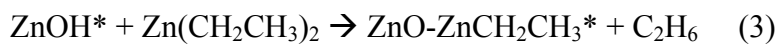


Figure 1.4 Schematic showing atomic layer deposition of ZnO [55]. Precursors are introduced separately into a viscous-flow reactor, limiting growth rate to one monolayer per cycle. ZnO grows layer-by-layer.

ALD growth is supposed to occur by splitting this reaction into the separate surface reactions:





where \* denotes a surface species. Due to the reactivity of the organometallic precursor, this process can be operated at a temperature as low as 80°C.

Figure 1.5 shows a typical gas pulse sequence used for ZnO ALD: Alternating exposures to the reactants in an ABAB... sequence leads to the layer-by-layer growth of ZnO as illustrated in Figure 1.4. In a similar manner, Al<sub>2</sub>O<sub>3</sub> will be deposited with atomic layer control using alternating exposures of Al(CH<sub>3</sub>)<sub>3</sub> and H<sub>2</sub>O, respectively.

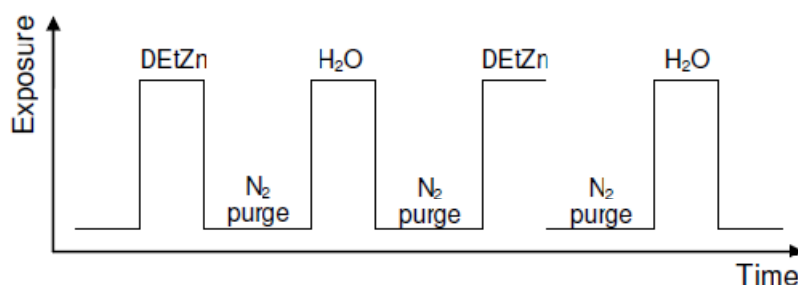


Figure 1.5 Typical pulse sequence for one ALD reaction cycle [55].

Figure 1.6 shows the schematic of an ALD system. The equipment consists of an inert carrier gas (N<sub>2</sub>) source, a hot-wall reaction chamber, a computer-controlled gas manifold, and a mechanical pump.

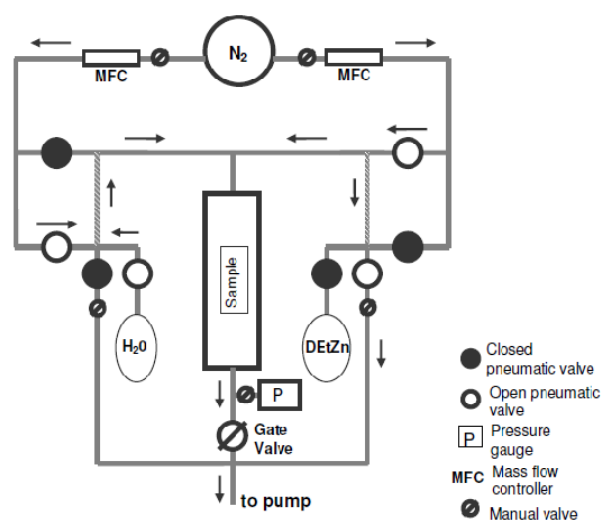


Figure 1.6 Schematic view of the ALD apparatus showing the direction of gas flow (indicated by arrows) during a water exposure [55].

During the exposure, one high-vapor pressure precursor is leaked into the gas line and transported into the chamber by the carrier gas flow. At the same time, the other precursor is purged from the system. The shaded areas indicate the parts of the manifold where gas flow reverses direction during exposure to avoid mixing of the precursors in the chamber.

### **1.5 Project Objectives**

In sum, there are two objectives in this project. The first objective is to investigate the Li-ion intercalation properties of the solution processing of  $V_2O_5$ - $WO_3$  composite films.  $V_2O_5$ - $WO_3$  composite films with different  $V_2O_5/WO_3$  molar ratios are prepared in order to optimize the Li-ion intercalation property. Moreover, the effects of the crystallinity and microstructure of  $V_2O_5$ - $WO_3$  composite films on their intercalation properties are examined with the help of XRD and SEM. The second objective of this project is to investigate the cycling performance of  $LiCoO_2$  electrodes with nanosized thin coatings by ALD for Li-ion batteries. The capacity retentions of a bare  $LiCoO_2$  electrode and  $LiCoO_2$  electrodes with nanosized thin  $Al_2O_3$  or  $ZnO$  coatings are compared in order to investigate the influence of the ultrathin conformal coatings by ALD.

### **1.6 Thesis Overview**

Chapter 1 introduces Li-ion batteries and their typical cathode materials, including  $V_2O_5$  and  $LiCoO_2$ . The mechanism of atomic layer deposition (ALD) is also introduced in this chapter.

Chapter 2 provides a review of the literature on  $V_2O_5$ -based composite materials

and  $\text{LiCoO}_2$  with nanosized thin surface coating for Li-ion batteries.

Chapter 3 describes the solution processing of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films for enhanced Li-ion intercalation properties. Previous work on  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films is introduced first, and then the preparation of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films is described. The crystallinity and morphologies of the composite film are examined by XRD and SEM. The electrochemical behaviors of the composite films are investigated with the help of cyclic voltammetric measurements and chronopotentiometric measurements. Finally, the cycling performances of the composite films are compared.

Chapter 4 describes the work on the surface coating of  $\text{LiCoO}_2$  by ALD for Li-ion batteries. The motivation for surface coating on  $\text{LiCoO}_2$  by ALD is introduced first. The preparation of  $\text{LiCoO}_2$  powders and ALD coating processes on  $\text{LiCoO}_2$  are provided subsequently. The crystal structure and morphology of  $\text{LiCoO}_2$  powders are investigated by XRD and SEM, respectively. The cycling performance of bare,  $\text{Al}_2\text{O}_3$ -coated and  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrodes are compared, and the mechanism for enhanced Li-ion capacity retention of  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode is discussed.

Chapter 5 summarizes the major conclusions on the solution processing of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films and the surface coating of  $\text{LiCoO}_2$  by ALD.

## **Chapter 2 Literature Review**

### **2.1 Vanadium Pentoxide-Based Composite Materials for Li-Ion Batteries**

As noted above, the Li-ion intercalation property of  $V_2O_5$  is limited due to the poor electronic conductivity. The electrochemical kinetics of  $V_2O_5$  can be improved by embedding the oxide within an electronically conducting network. Some thin coating of conductive material can serve as the conducting network, but the coating must be within nanoscale so that ions can penetrate through and appreciable polarization will not occur. Some surface modifications not only alleviate the problem of low electronic conductivity, but also reduce the size of active material, which would shorten the diffusion length for lithium.

#### **2.1.1 Carbon-Vanadium Pentoxide Composite**

Carbon-vanadium oxide composite is one of the commonly studied  $V_2O_5$ -based composites. Burning off carbon-coated  $V_2O_3$  nanoparticles around 400°C in air is one method to prepare carbon-coated  $V_2O_5$  nanoparticles [56]. Varying the conditions of the burning process can manipulate the thickness and weight percentage of carbon; the optimal carbon content is found to be 2-3% by weight. These  $V_2O_5$ -C nanoparticles have good interparticle electrical contact, and do not have the usual drawbacks of nanoparticles such as poor active mass integrity and high surface reactivity. Therefore, higher capacity, better rate capability and cyclability are exhibited by carbon-coated  $V_2O_5$  nanoparticles compared with  $V_2O_5$  microparticles or nanoparticles. The  $V_2O_5$ -C nanoparticles can deliver a Li intercalation capacity of 290 mAh/g at high rates. Vanadium oxide/carbon nanotube nanocomposite is another

carbon-vanadium oxide composite and it can deliver higher capacities. A sol-gel method was used by Dunn's group to incorporate  $V_2O_5$  aerogels into single-wall carbon nanotubes [57]. Intimate contact in the nanoscale between the carbon nanotubes and  $V_2O_5$  is found, which is ascribed to the similar morphology and dimensional scale of the carbon nanotubes and  $V_2O_5$  nanoribbons in the aerogel. Moreover, electrolyte can access throughout the composite material due to the porous structure of carbon nanotubes and  $V_2O_5$  aerogel permits. As a result, high capacities exceeding 400 mAh/g are achieved by such nanocomposite electrode at high rates. Another method to prepare  $V_2O_5$ -C composite is by drying the precursor which contains  $V_2O_5$  sol, carbon and a surfactant as reported by Akira Kuwahara et al. [58]. The authors claim that this  $V_2O_5$ -C composite has a short lithium diffusion distance ( $< 13$  nm) and high electric conductivity ( $> 3.4$  S/cm), and thus good electrochemical performance at a high discharge rate (30 A/g) is achieved. In addition,  $V_2O_5$  xerogel-carbon composite can be prepared by starting from the solution of  $V_2O_5$  in hydrogen peroxide and then adding 10 wt.% of carbon black into the solution [59]. The electrochemical performance of this composite was tested in saturated aqueous solutions of  $LiNO_3$  and has a relatively high capacity of 123 mA·h/g compared to pure  $V_2O_5$ .

### **2.1.2 Polymer-Vanadium Pentoxide Composite**

Conductive polymer/transition metal oxide composites have attracted much interest over the past two decades. Conductive organic polymers (e.g. polyacetylene, polyaniline and polypyrrole (PPy), pyridinesulfonic acid (PSA)) are interleaved



between the layers of an oxide lattice such as  $V_2O_5$  in the hybrid material. The polymer/oxide composites are very attractive as the cathode material for lithium batteries, because both oxide and polymer are electrochemically active. A chemical polymerization process is utilized to prepare a PSA-PPy- $V_2O_5$  composite [60]. The hybrid material is more homogenous due to the addition of PSA which increases the spacing between the oxides, and this hybrid material exhibits better electrochemical characteristics.  $V_2O_5$  nanocomposites alternating with polymer layers can be synthesized using a layer-by-layer (LbL) technique based on physical adsorption of oppositely charged layers. For example, the LbL technique is used to fabricate  $V_2O_5$ /polyaniline nanocomposite film, and the intercalation capacity is enhanced due to the intimate contact between the oxide and polymer within nanoscale [61]. Another example for the LbL technique is preparing  $V_2O_5$  nanocomposite alternating with blends of chitosan and poly(ethylene oxide) (PEO), and the charge storage capability in such nanoarchitectures is investigated [62]. The adsorption of alternate layers of PEO and  $V_2O_5$  is not efficient, but it can be improved by adding a small amount of chitosan (1%) into PEO. The  $V_2O_5$ /blend can intercalate 1.77 moles of lithium per mole of  $V_2O_5$ , and thus a higher capacity is achieved. The  $V_2O_5$ /blend has a larger number of electrochemically active sites, and faster lithium diffusion occurs within the host material, yielding an enhanced electrochemical performance in comparison with  $V_2O_5$ /chitosan. At 20 mV/s, the charges injected were 3.29 mC/cm<sup>2</sup> and 8.02 mC/cm<sup>2</sup> for  $V_2O_5$ /chitosan and  $V_2O_5$ /blend, respectively.

Recently Ponzio et al. reported that  $V_2O_5$ /polyaniline nanocomposite with

homogeneous distribution of polyaniline can stabilize the capacity [63]. The  $\text{V}_2\text{O}_5$ /polyaniline nanofibers are prepared using a reverse micelle method, and the composite nanofibers exhibit improved cycling performance compared to the  $\text{V}_2\text{O}_5$  nanofibers [63]. A steady capacity of  $\sim 300$  mAh/g is delivered by the  $\text{V}_2\text{O}_5$ /polyaniline nanofibers containing 30 mol% polyaniline, and the morphology does not change over 10 cycles, whereas the  $\text{V}_2\text{O}_5$  nanofibers do not retain the morphology after cycling. Some  $\text{V}_2\text{O}_5$ /polymer nanocomposites show lower storage capacity but better cycling stability compared to pure nanostructured  $\text{V}_2\text{O}_5$  [64]. Reddy et al. reported a hydrothermal method to prepare  $\text{PVP}_x\text{V}_2\text{O}_5$  ( $x = 0.5, 1$ ) nanobelts which exhibit lower capacity but better cycleability compared with  $\text{V}_2\text{O}_5$  nanobelts. The interaction between the oxide and polymer is studied with Fourier transformation infrared spectroscopy (FTIR). It is found that the electrostatic interaction between the  $\text{V}_2\text{O}_5$  interlayer and lithium ions is effectively shielded by the hydrogen bonds between the hydrogen atoms in PVP and the oxygen atoms of the  $\text{V}=\text{O}$  bonds of  $\text{V}_2\text{O}_5$  nanobelts.

### **2.1.3 Metal-Vanadium Pentoxide Composite**

Another popular composite cathode is metal-based cathode material, exemplified by the  $\text{Ni-V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  core-shell structure. A two-step electrodeposition method has been used to prepare  $\text{Ni-V}_2\text{O}_5 \cdot n\text{H}_2\text{O}$  core-shell nanocable arrays [65]. In the first step, a template-based electrochemical deposition was used to grow Ni nanorod arrays; in the second step, a sol electrophoretic deposition was utilized to deposit a hydrated vanadium pentoxide shell onto the surface of nickel nanorods.

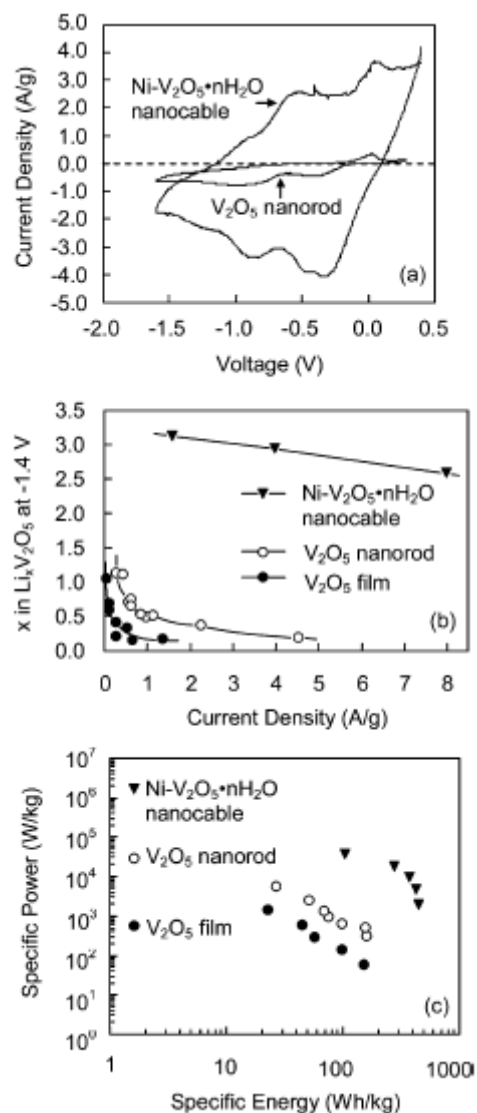


Figure 2.1 (a) Cyclic voltammograms of Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable array and V<sub>2</sub>O<sub>5</sub> nanorod array using a scan rate of 10 mV/s. (b) Relationship between current density and Li intercalation capacity of Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable array, V<sub>2</sub>O<sub>5</sub> nanorod array and sol-gel film from chronopotentiometric measurements. (c) Ragone plot for Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable array, V<sub>2</sub>O<sub>5</sub> nanorod array and sol-gel film [65].

The electrochemical performances of Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable arrays, single-crystal V<sub>2</sub>O<sub>5</sub> nanorod arrays and sol-gel derived V<sub>2</sub>O<sub>5</sub> films are compared as shown in figure 2.1. Remarkably improved capacity and rate capability are exhibited in Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable arrays compared with the other two. As the current density (discharge rate) increases, the intercalation capacities of both nanorod arrays and sol-gel films decrease rapidly. In contrast, nanocable arrays are able to

retain the high capacity as shown in Figure 2.2(b), indicating the excellent high-rate performance of nanocable arrays. Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O nanocable arrays have enhanced surface area and reduced internal resistance; thus they have significantly higher energy density and power density than those of the nanorod array and sol-gel film by at least one order of magnitude as shown in Figure 2.1(c).

Apart from Ni-V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O composite, Wang et al. synthesized Ag-Ag<sub>0.08</sub>V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O composite films by dispersing silver nanowires into a V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O matrix [66]. Further amorphization of V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O is found in the composite film, and the composite film is more porous and electronically conductive. As a result, twice the capacity of the V<sub>2</sub>O<sub>5</sub>·nH<sub>2</sub>O xerogel film is delivered by the composite film.

#### **2.1.4 Oxide-Vanadium Pentoxide Composite**

In addition to the metal-V<sub>2</sub>O<sub>5</sub> composite, oxide-V<sub>2</sub>O<sub>5</sub> composites especially for TiO<sub>2</sub>-V<sub>2</sub>O<sub>5</sub> composites have attracted extended interest. The improvement of cycling performance by incorporating V<sub>2</sub>O<sub>5</sub> with TiO<sub>2</sub> has been reported by several groups [67-69]. However, TiO<sub>2</sub>-V<sub>2</sub>O<sub>5</sub> composite exhibits different behavior on Li-ion intercalation capacities. M.B. Sahana et al. reported that the lithium intercalation capacity of a TiO<sub>2</sub>-V<sub>2</sub>O<sub>5</sub> composite decreases from 47 mC/cm<sup>2</sup> to 27 mC/cm<sup>2</sup> with incorporation of 5% Ti if titanium (IV) 2-ethylhexoxide and vanadium naphthenate oxide are utilized as metalorganic precursors. On the other hand, the capacity of a TiO<sub>2</sub>-V<sub>2</sub>O<sub>5</sub> composite increases from 14 mC/cm<sup>2</sup> to 27 mC/cm<sup>2</sup> using vanadium-tri(isopropoxide) oxide [VO(OC<sub>3</sub>H<sub>7</sub>)<sub>3</sub>] and titanium(IV) tetra-isopropoxide

as precursors [70]. Kyoungho Lee et al. claimed that the fabrication method, morphology, and crystallinity significantly influence the electrochemical performances of  $\text{TiO}_2\text{-V}_2\text{O}_5$  composites, and the Li-ion intercalation capacity is considerably enhanced by dropping the mixture of  $\text{V}_2\text{O}_5$  sol and  $\text{TiOSO}_4$  solution on Ti substrate and drying it under ambient conditions [71]. For example, the  $\text{TiO}_2\text{-V}_2\text{O}_5$  composite with a V/Ti molar ratio of 80/20 delivers a discharge capacity of  $\sim 425$  mAh/g at a current density of 0.27 A/g, which is nearly twice of that delivered by pure  $\text{V}_2\text{O}_5$ . Moreover,  $\text{TiO}_2\text{-V}_2\text{O}_5$  composite nanorod arrays are prepared with the help of polycarbonate (PC) membranes, and enhanced intercalation capacities are exhibited by the  $\text{TiO}_2\text{-V}_2\text{O}_5$  composite nanorod arrays with a V/Ti molar ratio of 75/25 [72].

## **2.2 Lithium Cobalt Oxide with Nanosized Thin Surface Coating**

The lithium transition metal oxides such as  $\text{LiCoO}_2$ ,  $\text{LiMn}_2\text{O}_4$ , or their solid solutions are popular cathode materials for Li-ion batteries. However, they can react with the electrolyte, which leads to safety problems. On the other hand, they can dissolve in the electrolyte as well, which deteriorates the cycling performance significantly. Coating the electrode materials with a nanosized thin surface layer is proved to enhance the stability of these lithium metal oxides.

Various  $\text{MPO}_4$  ( $\text{M} = \text{Al}, \text{Fe}, \text{SrH}$  and  $\text{Ce}$ ) coatings on  $\text{LiCoO}_2$  have attracted much interest.  $\text{FePO}_4$ -coated  $\text{LiCoO}_2$  is prepared via a co-precipitation method [73]. The anti-overcharge and thermal stability as well as the structural stability are improved by the  $\text{FePO}_4$  coating. The effect of the  $\text{MPO}_4$  nanoparticle coatings on  $\text{LiCoO}_2$

were studied extensively by Kim et al. [74]. It is found that with the coating concentration and annealing temperature, the nanoparticle size and morphology affect the extent of the coating coverage.  $\text{LiCoO}_2$  is fully encapsulated by smaller nanoparticles of  $\text{AlPO}_4$  or  $\text{FePO}_4$  with a size less than 20 nm, whereas  $\text{LiCoO}_2$  is only partially covered by  $\text{CePO}_4$  particles with a size larger than 150 nm or whisker-shaped  $\text{SrHPO}_4$ . The highest intercalation capacity of 230 mAh/g is delivered by the  $\text{LiCoO}_2$  fully covered by  $\text{AlPO}_4$  or  $\text{FePO}_4$  in a voltage range of 4.8 and 3 V at a rate of 0.1 C. The best capacity retention is shown by the  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$ ; the  $\text{FePO}_4$ -coated cathode shows lower capacity retention than the  $\text{CePO}_4$ - and  $\text{SrHPO}_4$ -coated cathodes at 90°C, which is attributed to the continuous Fe metal ion dissolution at this temperature. In spite of the differences of the coated  $\text{LiCoO}_2$  cathodes, the coatings suppress cobalt dissolution, and thus electrochemical performances are improved. In a further investigation of  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$ , electrochemical properties of  $\text{AlPO}_4$ -nanoparticle-coated  $\text{LiCoO}_2$  at various cutoff-voltages were found to depend on the annealing temperature [75]. The  $\text{AlPO}_4$ -coated cathodes exhibit excellent electrochemical performance with high cutoff voltages larger than 4.6 V when annealed at 600 °C and 700 °C, while such cathodes annealed at 400°C show a lower capacity and poorer rate capability. However, optimal capacity retention is shown by the  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$  annealed at 400°C [76]. Typical TEM images of  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$  deposited at room temperature, 400°C and 700°C are shown in figure 2.2.

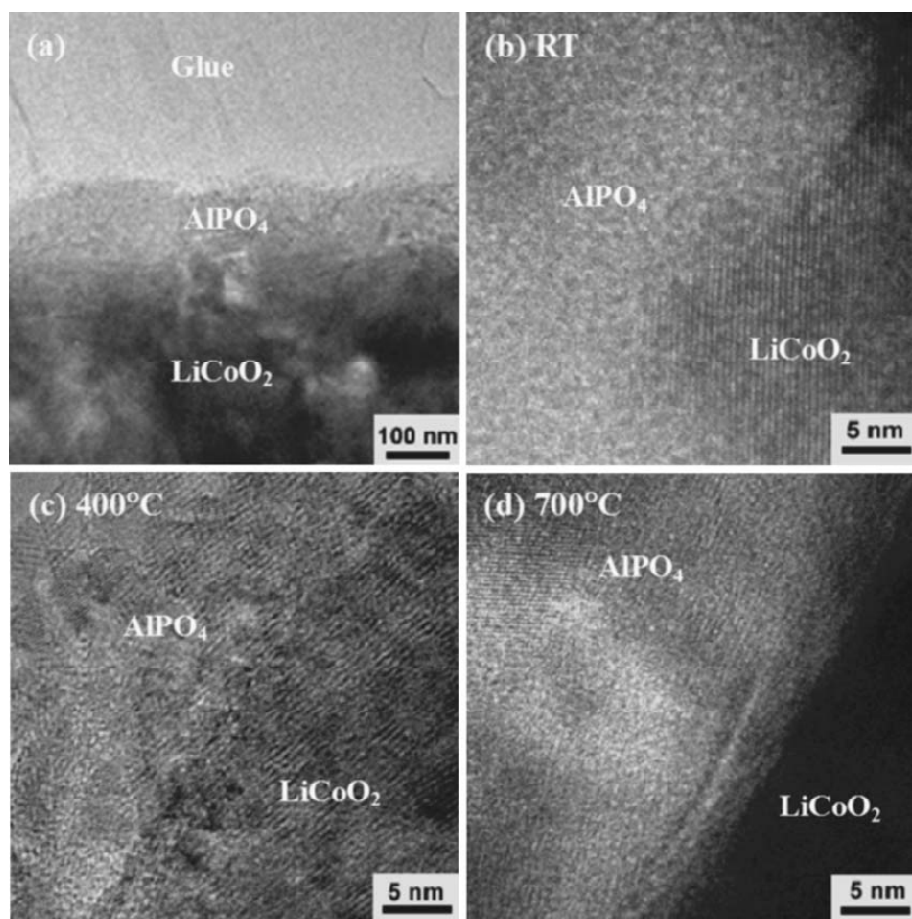


Figure 2.2 (a) Cross-sectional TEM images of  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$ . A  $\sim 100$  nm thick  $\text{AlPO}_4$  continuous layer is coated on the  $\text{LiCoO}_2$ . High resolution images of the  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$  at (b) room temperature, (c)  $400^\circ\text{C}$ , and (d)  $700^\circ\text{C}$  [76].

A continuous layer of  $\text{AlPO}_4$  coated on the surface of  $\text{LiCoO}_2$  has a thickness of about 100 nm as shown in Figure 2(a). Figure 2(b) shows that the coating layer deposited at room temperature is amorphous. Figure 2(c) shows that the nanocrystals in coating deposited at  $400^\circ\text{C}$  have a size range of 3-5 nm, and the coating deposited at  $700^\circ\text{C}$  consists of  $\sim 20$ -30 nm in size as shown in figure 2(d). The nanostructures of the coating layer and the interdiffusion at the interface between the coating layer and the  $\text{LiCoO}_2$  cathode change with different annealing temperatures, which explains the effect of temperature on electrochemical properties of  $\text{AlPO}_4$ -coated  $\text{LiCoO}_2$ .

In addition to coatings of phosphates, surface modification of  $\text{LiCoO}_2$  by coating various oxides such as  $\text{ZrO}_2$  [77],  $\text{Al}_2\text{O}_3$  [78],  $\text{SnO}_2$  [79],  $\text{MgO}$  [80] or  $\text{ZnO}$  [43] has been widely investigated. In the case of  $\text{ZnO}$ -coated  $\text{LiCoO}_2$ , the cobalt dissolution is reduced due to the  $\text{ZnO}$  coating which also prevents the inorganic surface films such as  $\text{LiF}$  from covering the  $\text{LiCoO}_2$  particles [43]. Based on the impedance spectra, the  $\text{ZnO}$  coating layer can also reduce the charge-transfer resistance of the coated  $\text{LiCoO}_2$ , although the  $\text{ZnO}$  coating layer is more resistant than the  $\text{LiCoO}_2$  surfaces. As a result, the high-voltage cycleability of the  $\text{LiCoO}_2$  cathodes is improved by surface modification with  $\text{ZnO}$ . In addition to the  $\text{ZnO}$  coating, the  $\text{LiCoO}_2$  cathode surface can be protected and the electrolyte decomposition can be reduced at high voltages by coating  $\text{ZrO}_2$  on  $\text{LiCoO}_2$  [81-82]. Improved structural stability is exhibited by the  $\text{ZrO}_2$ -coated  $\text{LiCoO}_2$  compared to the bare  $\text{LiCoO}_2$ , as evidenced by in situ XRD data. Moreover,  $\text{LiCoO}_2$  coated with different weight percents of  $3\text{LaAlO}_3:\text{Al}_2\text{O}_3$  was reported by Cheng-Zhang Lu et al. [83]. The electrical conductivity can be enhanced by  $\text{LaAlO}_3$  and  $\text{PF}_6^-$ ,  $\text{PF}_5$ , and  $\text{HF}$  in the electrolyte can be collected by amorphous  $\text{Al}_2\text{O}_3$ . The best electrochemical performance is exhibited by the  $3\text{LaAlO}_3:\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  with 1.0 wt%  $3\text{LaAlO}_3:\text{Al}_2\text{O}_3$ , which delivers a discharge capacity of 138 mAh/g after 182 cycles. This discharge capacity is larger than that delivered by pristine  $\text{LiCoO}_2$  after 38 cycles.



## **Chapter 3 Solution Processing of $V_2O_5$ - $WO_3$ Composite Films for Enhanced Li-Ion Intercalation Properties**

### **3.1 Introduction to $V_2O_5$ - $WO_3$ Composite Films**

Intercalation compounds as a special family of materials have attracted tremendous research interests recently. The intercalation refers to the reversible intercalation of mobile guest species (atoms, molecules, or ions) into a crystalline host lattice that contains an interconnected system of an empty lattice site of appropriate size, while the structural integrity of the host lattice is formally conserved. Various host lattices are metal dichalcogenides, metal oxyhalides, metal phosphorus trisulfides, metal oxides, metal phosphates, hydrogen phosphates, phosphonates, graphite and layered clay minerals. Guest materials include metal ions, organic molecules, and organometallic molecules. In particular, Li-ion intercalation compounds have received extensive attention due to their applications as electrode materials in Li-ion rechargeable batteries [84 - 90], electrochemical supercapacitors [91 - 92], and electrochromic devices [93-95]. Among various transition metal oxides that are capable of intercalating Li ions, vanadium pentoxide ( $V_2O_5$ ) has been studied widely for several decades [96-100].  $V_2O_5$  can intercalate Li ions or other molecules due to its layered structure and has a theoretical capacity of 144 mA•h/g for one mole Li-ion intercalation per mole  $V_2O_5$  [16,101]. The chemical energy is transformed to electric energy during intercalation and this process can be carried out reversely during deintercalation [71]. Based on this electrochemical property,  $V_2O_5$  has become a promising electrode material for rechargeable Li-ion batteries [102 - 103], electrochemical supercapacitors [91-92], and electrochromic displays [93, 104-105].

However, the Li-ion diffusion coefficient ( $10^{-12}$  cm<sup>2</sup>/s) and the electronic conductivity ( $10^{-2}$  to  $10^{-3}$  S/cm) in crystalline V<sub>2</sub>O<sub>5</sub> are inherently too low to sustain a large specific capacity at high charge/discharge rates [28-30]. Many studies have been conducted to improve Li-ion diffusion and electronic conduction in V<sub>2</sub>O<sub>5</sub> by synthesizing the oxide with a more open crystal structure [31-33] or by incorporating highly conductive materials into V<sub>2</sub>O<sub>5</sub> [34-36]. Recently Anqiang Pan et al. reported that the charge transport in V<sub>2</sub>O<sub>5</sub> is improved by forming coherent hydrous vanadium pentoxide–carbon cryogel nanocomposites and the carbon network provides good electrical conductivity, hence the lithium interaction capacity of V<sub>2</sub>O<sub>5</sub> is significantly enhanced [100].

Another approach to improve lithium interaction capacity of V<sub>2</sub>O<sub>5</sub> is modifying its crystallinity by annealing V<sub>2</sub>O<sub>5</sub> xerogel films at 300°C in nitrogen and air [99] or by adding other electrochemically active species such as titanium dioxide (TiO<sub>2</sub>) [72]. In this study, we will incorporate tungsten trioxide (WO<sub>3</sub>) into V<sub>2</sub>O<sub>5</sub> via solution processing of V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> composite films. WO<sub>3</sub> shows electrochromic properties due to its capability of intercalating various cations (H<sup>+</sup>, Li<sup>+</sup>, and K<sup>+</sup>) and can deliver a capacity of 115 mA•h/g theoretically for one mole Li-ion intercalation per mole WO<sub>3</sub>. Thus WO<sub>3</sub> has found wide applications in electrochromic displays and smart windows [94-95,106-109]. For example, Wang et al. recently reported the synthesis of uniform crystalline WO<sub>3</sub> nanorods by using a facile hydrothermal process; the assembly of these nanorods without any surfactants results in transparent WO<sub>3</sub> nanorod film, which demonstrates excellent electrochromic properties with high

stability over 1000 Li-ion intercalation/deintercalation processes [108]. The electrochromic performance of  $\text{WO}_3$  can be further improved by forming  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  composite materials [110]. It has been reported that the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 0.035:0.965 shows more neutral color and higher coloration efficiency in comparison to pure  $\text{WO}_3$  [110]. The  $\text{WO}_3$ - $\text{V}_2\text{O}_5$  composite also exhibits improved catalytic activity, which facilitates its applications for ethanol partial oxidation [111] and NO decomposition [112]. M. Ranjbar et al. has found that the  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 0.09:0.91 shows better response to hydrogen gas exposure for deeper and faster coloring [113].

$\text{V}_2\text{O}_5$ - $\text{WO}_3$  composite films have been prepared by pulse laser deposition [114, 113] and sol-gel routes [115, 110-112]. The sol-gel processing methods offer facile control of the stoichiometry and structure of the films which will affect the charge/discharge capacity and reversibility of the  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  composite films [110]. However, several limitations are also found in the sol-gel routes reported previously. For example, some sol-gel processing methods employ precursors such as sodium tungstate dehydrate ( $\text{Na}_2\text{WO}_4$ ), sodium tungstate ( $\text{NaWO}_3$ ), sodium metavanadate ( $\text{NaVO}_3$ ) [111, 115], vanadium oxyisopropoxide, and tungsten hexachloride [112]. Impurity elements such as  $\text{Na}^+$  or  $\text{Cl}^-$  are introduced into the synthetic procedure. N. Ozer and Lampert have employed a sol-gel method using vanadium triisopropoxide oxide ( $\text{VO}(\text{OC}_3\text{H}_7)_3$ ) and metallic tungsten as the precursors of  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$ , respectively. This method avoids those impurity elements; however, the mixed sol obtained by N. Ozer and Lampert can only remain stable for several days [110].

Most  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films reported in literature have been studied for enhanced catalytic activity or electrochromic performances. In such composite films,  $\text{WO}_3$  is usually the major constituent and its catalytic or electrochromic properties are improved by the  $\text{V}_2\text{O}_5$  addition. There are few reports on the electrochemical properties of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films for applications in Li-ion batteries.

In short, we investigate the Li-ion intercalation properties of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films for applications as cathode materials in Li-ion rechargeable batteries. We have utilized a simple and novel solution processing method to prepare the  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films with different molar ratios of  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$ . This solution processing method employs precursors that only contain the elements of V, W, O and H, and thus avoids introducing impurity elements such as Na into the sol as other sol-gel methods reported in literature. The resulted solution is stable and remains clear for several months. This solution-processing method also offers simple and precise control of  $\text{V}_2\text{O}_5/\text{WO}_3$  compositions. It is found that  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films deliver higher Li-ion intercalation capacities than both pure  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$  films, due to morphological and structural changes of one oxide caused by the other. In this systematic study,  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios are optimized to achieve the highest Li-ion intercalation capacity in the composite film. Furthermore, we have examined the effect of chemical composition, crystallinity, and microstructure of  $\text{V}_2\text{O}_5\text{-WO}_3$  films on their intercalation properties.

### 3.2 Experimental Section

Mixed solutions with different molar ratios of  $V_2O_5:WO_3$  (1:0, 10:1, 4:1, 1:1, 1:4 and 0:1) were synthesized by combining an aqueous vanadium pentoxide sol with a solution of tungsten oxygen ionic species. The vanadium pentoxide sol was prepared using a method reported by Fontenot et al.[116]. 0.136 g  $V_2O_5$  powders (99.6%, Alfa Aesar) was dissolved in 2ml deionized  $H_2O$  and 0.603 ml  $H_2O_2$  (30%, Mallinckrodt) solution. The suspension was stirred until the  $V_2O_5$  powders totally dissolved, resulting in a clear and dark red solution. The solution was then sonicated to get a red brown gel which was dispersed into water in a molar concentration of 0.005 M, and was stirred until it was clear. The tungsten based solution was prepared using a method reported by Bouziane Yebka et al. [117]. The peroxopolytungstic acid was prepared by dissolving 1.247g  $H_2WO_4$  (tungstic acid, Alfa Aesar) in 50ml  $H_2O_2$  (30 wt% in water), resulting in a cloudy white dispersion. The dispersion was stirred for 24 hours at 60 °C until a clear colorless solution was obtained. This solution was further diluted in water to reach a molar concentration of 0.005 M. Without further adjusting the pH values ( $V_2O_5$ : 2.67 and  $WO_3$ : 2.30) of the individual sol and solution, the appropriate amounts of vanadium based sol and tungsten based solution were admixed by stirring for 10 min, giving a yellow solution. A droplet of the sol or solution was drop cast onto a ITO (tin-doped indium oxide) glass (1 inch  $\times$  1 inch) and dried under ambient conditions. The masses of all the films were kept at  $6 \times 10^{-5}$  g. The films were then heated at 110°C for 8 h, followed by heating at 200 °C for 1 h and at 470 °C for 1 h with the same heating rate of 2 °C

/min in air. Annealing of the films at 470 °C results in crystalline phases of  $V_2O_5$  and  $WO_3$ . Another annealing process was heating the films at 250 °C with a heating rate of 2°C /min. The effect from amorphous  $WO_3$  on the crystallinity of the composite films can be studied at this temperature.

The crystalline phases of the films with various  $V_2O_5/WO_3$  molar ratios were analyzed by X-ray diffraction (XRD) using a Rigaku MiniFlex diffractometer with  $CuK\alpha$  radiation operated at 30 kV and 15 mA. Scanning electron microscopy (SEM, Quantum 3D FEG) was used to examine the morphology of the pure  $V_2O_5$  and  $WO_3$  films and their composite films with  $V_2O_5:WO_3=4:1$  and  $10:1$  after heat treatment at 470 °C for 1 h. A three-electrode cell was used to investigate the electrochemical properties of the composite films with different molar ratios. A platinum mesh was used as counter electrode and a silver wire in a 0.1 mol/L  $AgNO_3$  ethanol solution serves as reference electrode; the  $Ag/Ag^+$  reference electrode has been used for electrochemical measurements including electrochemical characterizations of vanadium-oxide-based electrode materials [100, 118-119]. The electrolyte was a 1 mol/L solution of lithium perchlorate (99%, Alfa Aesar) in propylene carbonate (99%, Aldrich). Cyclic voltammetric (CV) measurements were carried out between the potential limits of -1.6 and 0.4 V versus  $Ag/Ag^+$  using a potentiostat/galvanostat (Model 605C, CH Instrument). The CV curves were recorded after two cycles at a scan rate of 0.05 V/s. The chronopotentiometric measurements were carried out under various current densities.

### 3.3 Results and Discussion

Figure 3.1 shows the X-ray diffraction spectra of the  $V_2O_5$ ,  $WO_3$ , and  $V_2O_5$ - $WO_3$  composite films on ITO substrates annealed at 470 °C for 1 h. The sol-gel-derived  $V_2O_5$  film demonstrates a strong preferential orientation along [001] direction, which is in good agreement with the previous report [120]. The film derived from the tungsten based solution exhibits a monoclinic phase, as evidenced by the typical peak (020) at  $2\theta=23.67^\circ$ .

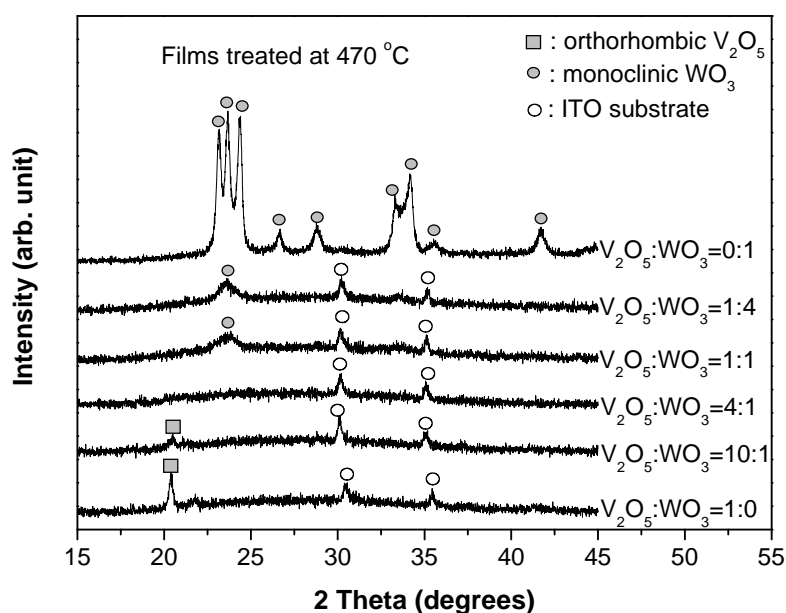


Figure 3.1 X-ray diffraction patterns of  $V_2O_5$ - $WO_3$  composite films after annealing at 470 °C in air for 1 h.

The monoclinic phase of  $WO_3$  is also observed when the  $V_2O_5$ / $WO_3$  molar ratios are 0:1, 1:4 and 1:1, but its corresponding XRD peaks become weaker as more  $V_2O_5$  emerges in the composite films. The XRD peaks of  $WO_3$  eventually disappear with further increase in  $V_2O_5$  and the orthorhombic phase of  $V_2O_5$  appears when the  $V_2O_5$ / $WO_3$  ratio reaches 10:1. The decrease of intensities of diffraction peaks indicates the deterioration of the crystallinity of oxides. As there are no new diffraction peaks other than orthorhombic  $V_2O_5$  and monoclinic  $WO_3$ , it can be

concluded that there is no second phase. In addition, all the samples are prepared using water-based sol-gel or solution processing methods, as detailed in the experimental section; solvents ( $\text{H}_2\text{O}$  and  $\text{H}_2\text{O}_2$ ) evaporate and disappear during drying and sintering, and there is no precursor loss. Thus the deterioration of the crystallinity of  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$  is ascribed to the presence of the other oxide. It should be noted that the diffraction peaks of both  $\text{WO}_3$  and  $\text{V}_2\text{O}_5$  disappear when the  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio is 4:1, indicating possible amorphization of both oxides.

Figure 3.2 shows the XRD patterns of pure oxide and composite films treated at  $250^\circ\text{C}$  for 5 h. Annealing at such a relatively low temperature leads to hydrated films which can be amorphous or nanocrystalline showing broad XRD diffraction peaks.

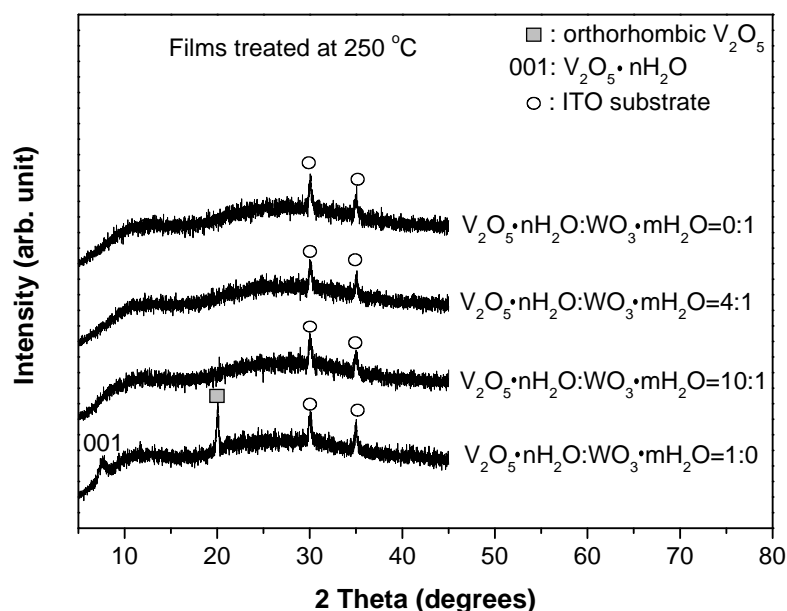


Figure 3.2 X-ray diffraction patterns of  $\text{V}_2\text{O}_5 \cdot n\text{H}_2\text{O} - \text{WO}_3 \cdot m\text{H}_2\text{O}$  composite films obtained via annealing at  $250^\circ\text{C}$  in air for 5 h.

In the XRD patterns of pure tungsten oxide film and composite films with  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of 4:1 and 10:1, no peaks related to  $\text{WO}_3$  exist, suggesting



tungsten oxide is not crystallized yet at 250°C. In the XRD pattern of pure vanadium pentoxide film, the peak at  $2\theta=7.82$  corresponds to the (001) diffraction of  $V_2O_5 \cdot nH_2O$  and the peak at  $2\theta=20.07$  is ascribed to orthorhombic  $V_2O_5$ , indicating that the film annealed at 250°C contains a mixture of hydrated films and anhydrous orthorhombic phase; both peaks disappear when  $WO_3$  is added in the composite films with  $V_2O_5/WO_3$  ratios of 4:1 and 10:1. These results suggest that the crystallization process in the composite films is hindered with the coexistence of vanadium and tungsten ions, which occurs even at relatively low temperatures when the oxides are not fully crystallized yet.

Figure 3.3 shows the top-view SEM images of  $V_2O_5$ ,  $WO_3$ , and  $V_2O_5$ - $WO_3$  composite films with  $V_2O_5/WO_3$  molar ratios of 4:1 and 10:1. The  $V_2O_5$  film is composed of platelet grains and appears dense, as shown in Figure 3.3 (a). On the other hand, the  $WO_3$  film shows a porous structure as shown in Figure 3.3 (d), which is different from  $WO_3$  films consisting of nanosized platelets or needle-like textured grains prepared by other solution methods reported in literature [121-122]. Figure 3.3 (b) and Figure 3.3 (c) present the surface morphology of composite films with  $V_2O_5/WO_3$  ratios of 10:1 and 4:1, respectively. It is clear that grain sizes in these composite films are smaller than those in pure  $V_2O_5$  films, indicating the deterioration of crystallinity. There are a small quantity of thick (diameter:  $\sim 320$  nm) and thin (diameter:  $\sim 140$  nm) fibers in the composite film with a  $V_2O_5/WO_3$  molar ratio of 4:1. In the case of composite film with a  $V_2O_5/WO_3$  molar ratio of 10:1, many nanofibers with a smaller diameter of about 70 nm exist in the film, as shown in Figure 3.3 (b).

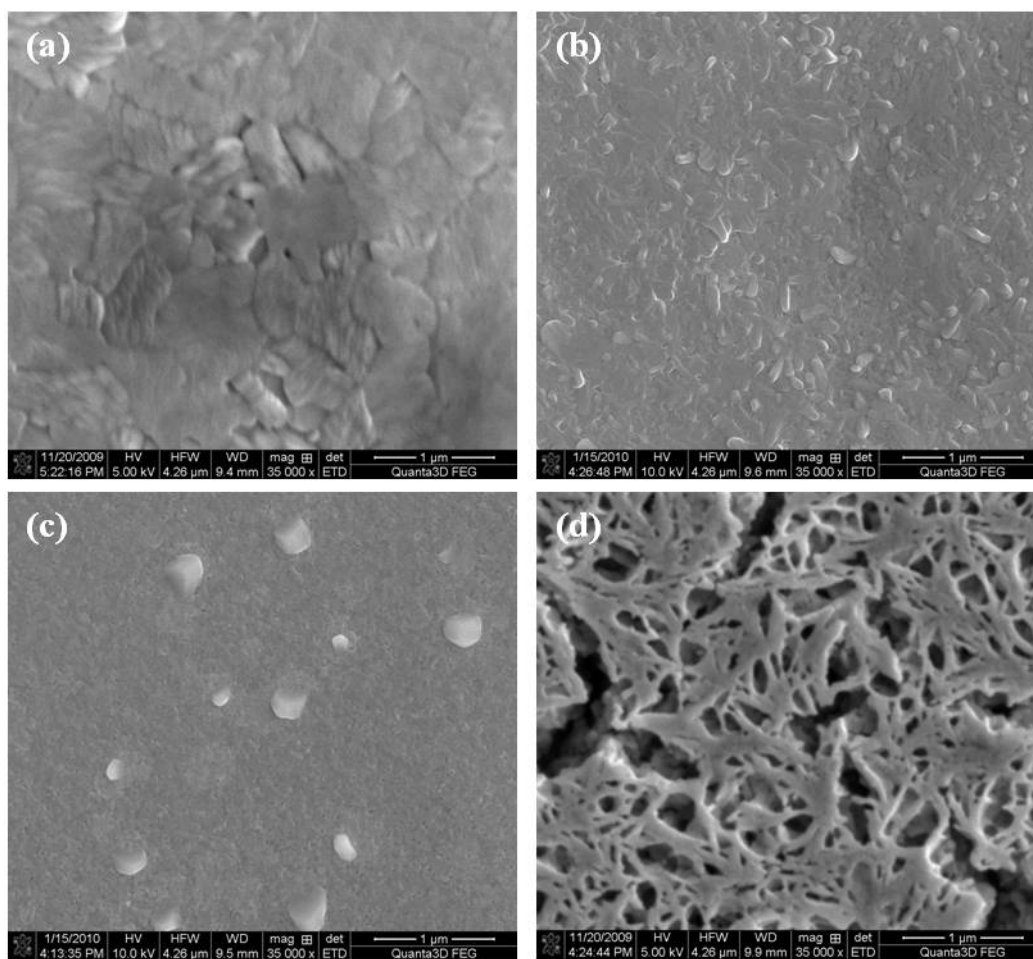


Figure 3.3 SEM micrographs showing surface morphologies of (a) pure  $\text{V}_2\text{O}_5$  film, composite  $\text{V}_2\text{O}_5\text{-WO}_3$  films with  $\text{V}_2\text{O}_5\text{:WO}_3$  molar ratios of (b) 10:1 and (c) 4:1, and (d) pure  $\text{WO}_3$  film. All the films are obtained via annealing at 470 °C for 1 h.

The cross-section SEM images of films with  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of 1:0, 0:1, and 10:1 are presented in Figure 3.4. The cross section of pure  $\text{V}_2\text{O}_5$  film exhibits a smooth and dense structure, with a thickness of  $\sim 215$  nm. On the other hand, the cross section of  $\text{WO}_3$  film (same mass as  $\text{V}_2\text{O}_5$  film) shows a rough and porous granular structure and the film is much thicker (610 nm) than  $\text{V}_2\text{O}_5$  film. Different from pure  $\text{V}_2\text{O}_5$  film, the cross section of the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1 shows a granular structure, although the particles are smaller than pure  $\text{WO}_3$  film. The thickness of this composite film is  $\sim 265$  nm, thicker than  $\text{V}_2\text{O}_5$  film but thinner than  $\text{WO}_3$  film. Since the bulk density of  $\text{WO}_3$  ( $7.25 \text{ g/cm}^3$ ) is much

larger than that of  $\text{V}_2\text{O}_5$  ( $3.35 \text{ g/cm}^3$ ) and all the films have the same mass, the  $\text{WO}_3$  film with the largest thickness is the most porous and the  $\text{V}_2\text{O}_5$  film with the lowest thickness is the least porous.

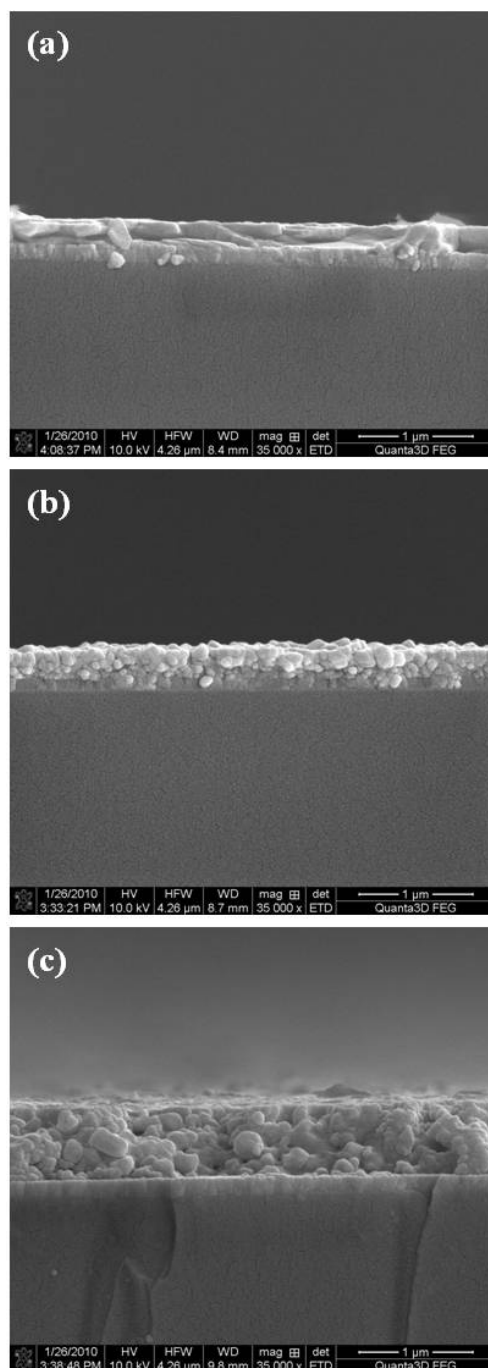


Figure 3.4 SEM micrographs showing cross-section morphologies of (a) pure  $\text{V}_2\text{O}_5$  film, (b) composite  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  film with a  $\text{V}_2\text{O}_5$ : $\text{WO}_3$  molar ratio of 10:1, and (c) pure  $\text{WO}_3$  film. All the films are obtained via annealing at  $470^\circ\text{C}$  for 1 h.

The  $\text{V}_2\text{O}_5\text{-WO}_3$  composite film with the intermediate thickness is more porous than  $\text{V}_2\text{O}_5$  film and less porous than  $\text{WO}_3$  film. Thus the composite film has larger electrochemical active area than  $\text{V}_2\text{O}_5$  film, which can contribute to the enhanced Li-ion intercalation property of the composite film. However, the most porous  $\text{WO}_3$  film delivers the lowest discharge capacity despite its porous structure and large surface area. It should be noted that the capacity of  $\text{WO}_3$  is dependent on the potential range used in electrochemical measurements. For example, according to the work of Wen-Jing Li et al. [123],  $\text{WO}_3$  exhibits a capacity of above  $800 \text{ mA}\cdot\text{h/g}$  in the potential range of  $4.0 \sim 0.0 \text{ V vs. Li/Li}^+$ , but the  $\text{WO}_3$  sample exhibits much lower capacity when the potential range is reduced to  $4.0 \sim 2.0 \text{ V vs. Li/Li}^+$ . The potential range we used ( $0.4 \sim -1.6 \text{ V vs. Ag/Ag}^+$ ) is similar to the latter and thus our pure  $\text{WO}_3$  film shows low capacity.

Figure 3.5 shows typical cyclic voltammograms (CV) of  $\text{V}_2\text{O}_5$ ,  $\text{WO}_3$  and  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films heated at  $470^\circ\text{C}$  measured at a scan rate of  $0.05 \text{ V/s}$ . The CV curve of  $\text{WO}_3$  film shows indistinguishable cathodic and anodic peaks, indicating there is not much Li-ion intercalation/deintercalation within the given potential limit. On the other hand, the cyclic voltammogram of  $\text{V}_2\text{O}_5$  film is more complicated. It shows two discernable cathodic peaks at  $-0.443 \text{ V}$ ,  $-0.735 \text{ V}$  and three shoulders around  $-0.217 \text{ V}$ ,  $-1.179 \text{ V}$  and  $-1.388 \text{ V}$ ; it shows three anodic peaks at  $-0.028 \text{ V}$ ,  $-0.547 \text{ V}$ ,  $-0.877 \text{ V}$  and one shoulder around  $-0.240 \text{ V}$ . The presence of cathodic and anodic peaks is attributed to  $\text{Li}^+$  intercalation and extraction processes, respectively. The CV curve of the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of

4:1 shows three cathodic peaks at -0.240 V, -0.438 V -0.770 V and two shoulders around -1.148 V and -1.382 V; it shows anodic peaks at -0.071 V, -0.275 V, -0.560 V, -0.794 V and one shoulder around -1.201 V. This CV curve is similar to that of the pure  $V_2O_5$  film.

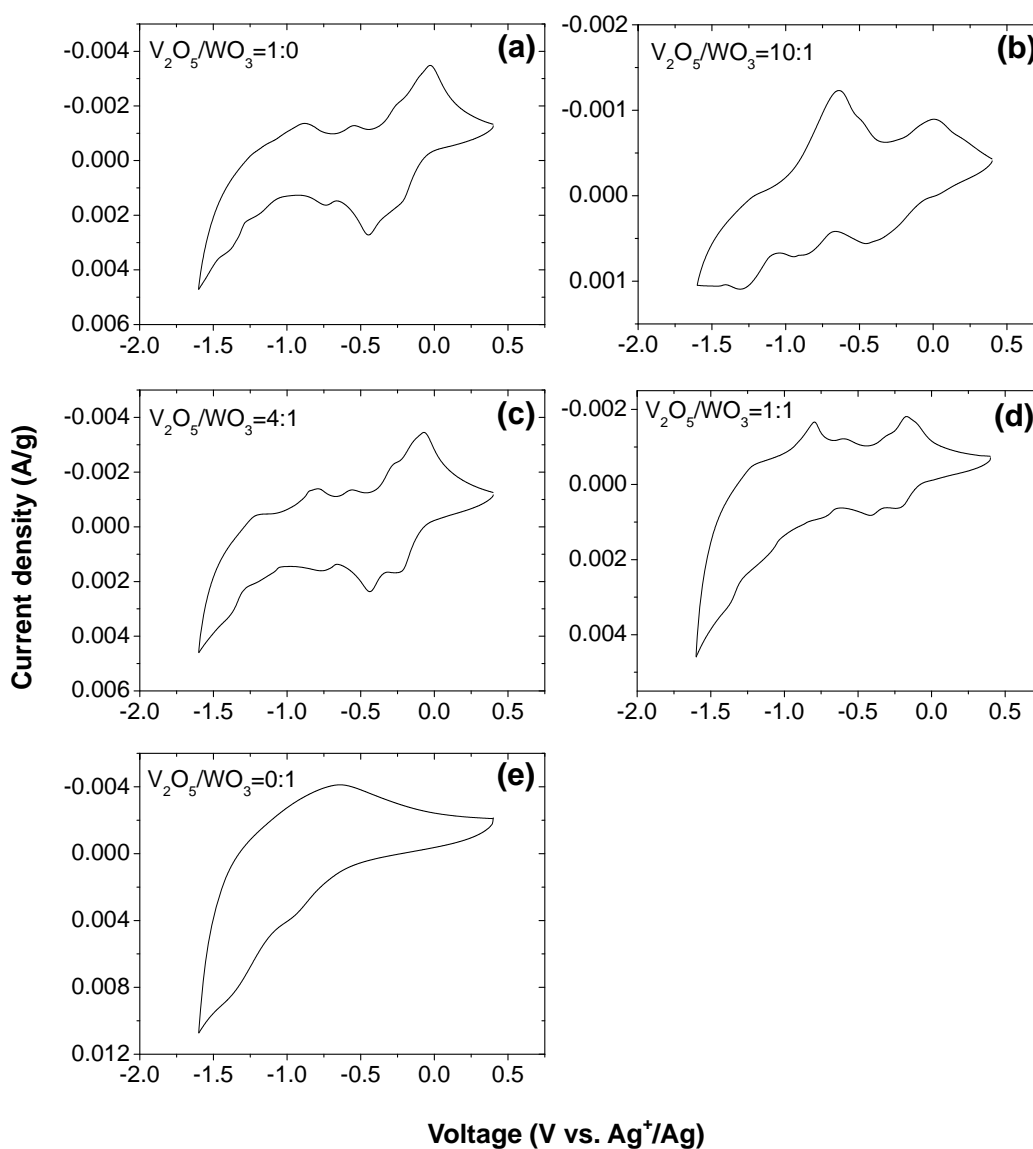


Figure 3.5 Cyclic voltammograms of  $V_2O_5$  film,  $WO_3$  film, and composite  $V_2O_5$ - $WO_3$  films with  $V_2O_5/WO_3$ = (a) 1:0, (b) 10:1, (c) 4:1, (d) 1:1 and (e) 0:1. The scan rate is 0.05 V/s.

However, the shoulder around -0.217 V becomes a more discernable peak in the curve of this composite film with a  $V_2O_5/WO_3$  molar ratio of 4:1, indicating enhanced

kinetics for Li-ion intercalation in the composite film. For the film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 1:1, two cathodic peaks are at -0.217 V, -0.421 V and three shoulders are around -0.706 V, -1.149 V and -1.370 V; three anodic peaks are at -0.170 V, -0.601 V, -0.794 V and two shoulders are around -0.304 V and -1.225 V. The positions of the cathodic peaks and shoulders are similar to those on the CV curve of pure  $\text{V}_2\text{O}_5$ , but the peaks are less discernable, indicating decreased kinetic property for Li-ion intercalation compared to the pure  $\text{V}_2\text{O}_5$  film, which can be ascribed to the increase of the amount of  $\text{WO}_3$ . On the other hand, the anodic peak at -0.170 V shifts to a more negative position and the peak at -0.794 V shifts to a more positive position compared to the peaks in the curve of pure  $\text{V}_2\text{O}_5$  film. The curve obtained by the composite film ( $\text{V}_2\text{O}_5:\text{WO}_3 = 10:1$ ) exhibits a simpler and considerably different profile compared to the CV curve of pure  $\text{V}_2\text{O}_5$  film: both anodic and cathodic peaks shift appreciably; the cathodic peaks shift to -0.463 V, -0.949 V and -1.307 V, and the anodic peaks and shoulders shift to -0.008 V, -0.482 V and -0.642 V. Not only the position of the peaks and shoulders shift obviously, but also the shape of them change appreciably, which indicates that phase transitions during electrochemical intercalation/deintercalation in this composite film are different from those occurring in the pure  $\text{V}_2\text{O}_5$  film. Similar results have also been observed from chronopotentiometric measurements as discussed below.

Figure 3.6 illustrates the chronopotentiometric (CP) curves of the films heated at 470 °C with various  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of 1:0, 10:1, 4:1, 1:1, 1:4 and 0:1 under a current density of 1.33 A/g. The CP curve of  $\text{V}_2\text{O}_5/\text{WO}_3=1:0$  (pure  $\text{V}_2\text{O}_5$ ) shows a

stepwise shape with two plateaus starting at -0.43 V and -1.22 V, which are ascribed to two phase changes of  $\text{Li}_x\text{V}_2\text{O}_5$  during  $\text{Li}^+$  intercalation [101, 124].

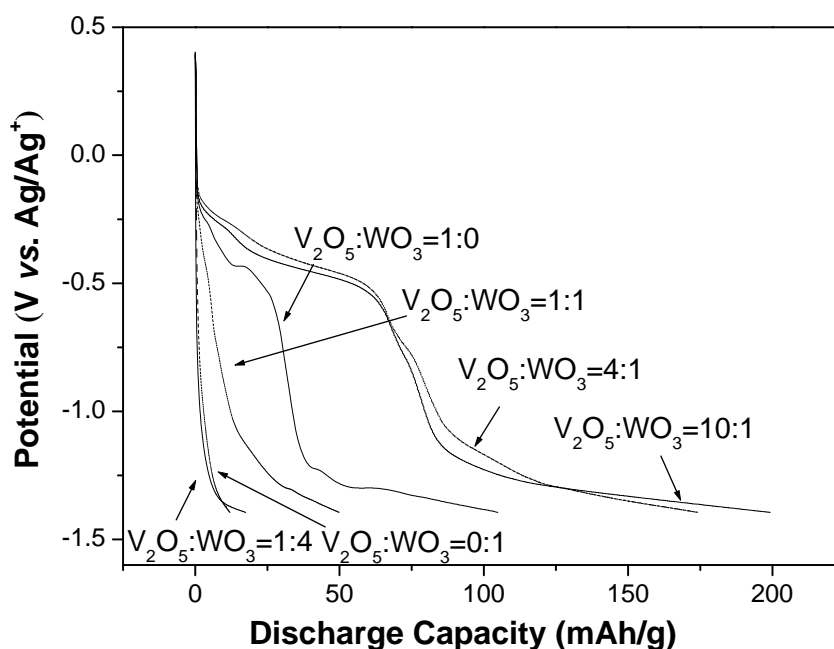


Figure 3.6 Chronopotentiometric curves of  $\text{V}_2\text{O}_5$  film,  $\text{WO}_3$  film, and composite  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  films with various  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios, at a constant current density of 1.33 A/g.

On the other hand, the CP curve of  $\text{WO}_3$  film shows a sloping shape without stages. The CP curves of composite films with  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of 4:1 and 10:1 also show two plateaus, similar to those of pure  $\text{V}_2\text{O}_5$ , but the plateaus in the CP curve of the composite film are less distinct, suggesting the deterioration of crystallinity of  $\text{V}_2\text{O}_5$  caused by  $\text{WO}_3$ . Both of these two composite films show enhancement of Li-ion intercalation in the first phase change process. However, the composite film with a molar ratio of  $\text{V}_2\text{O}_5/\text{WO}_3=10:1$  delivers a higher capacity of 100  $\text{mA}\cdot\text{h/g}$  in the potential range of -1.22 V and -1.4 V, whereas the pure  $\text{V}_2\text{O}_5$  film and the composite film with a molar ratio of  $\text{V}_2\text{O}_5/\text{WO}_3=4:1$  deliver a similar capacity of 65  $\text{mA}\cdot\text{h/g}$ . The CP curves of composite films with  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of

1:1 and 1:4 only have a gradual potential decrease without any distinct stepwise potential drop, similar to that of pure  $\text{WO}_3$ . Such disappearance of stepwise potential drop with increased  $\text{WO}_3$  composition in the  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  composite films further indicates the amorphization of  $\text{V}_2\text{O}_5$  caused by addition of  $\text{WO}_3$ . As can be clearly seen from figure 3.6, the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1 delivers the highest discharge capacity of 200  $\text{mA}\cdot\text{h/g}$  than all other films at the same current density of 1.33 A/g, for example, almost twice of that delivered by pure  $\text{V}_2\text{O}_5$  film (108  $\text{mA}\cdot\text{h/g}$ ). The coulombic efficiency of the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1 is 70.5% which is slightly higher than that of the pure  $\text{V}_2\text{O}_5$  film (67.5 %).

Figure 3.7 (a) summarizes the discharge capacity as a function of current density for pure  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$  films, and various composite  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  films. When a relatively small amount of  $\text{WO}_3$  is added into  $\text{V}_2\text{O}_5$  as in the case of composite films with  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios of 4:1 and 10:1, the discharge capacity can be enhanced compared to pure  $\text{V}_2\text{O}_5$  film. However, the  $\text{Li}^+$  intercalation capacity is reduced compared to that of pure  $\text{V}_2\text{O}_5$  film, when too much  $\text{WO}_3$  is added into  $\text{V}_2\text{O}_5$  as in the case of composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 1:1. The discharge capacity of the composite film is greatly reduced when  $\text{WO}_3$  is the major composition and plays a dominant role. Figure 3.7 (b) shows the change of discharge capacity as a function of molar fraction of  $\text{V}_2\text{O}_5$  (the moles of  $\text{V}_2\text{O}_5$  divided by the total moles of  $\text{V}_2\text{O}_5$  and  $\text{WO}_3$ ) at different current densities. The discharge capacity first increases gradually with the increased amount of  $\text{V}_2\text{O}_5$  in the composite films, but reaches the



highest value with the  $V_2O_5/WO_3$  molar ratio of 10:1 showing 356 mA•h/g, 200 mA•h/g and 190 mA•h/g at current densities of 0.666 A/g, 1.333 A/g and 1.667 A/g, respectively. The trend of capacity vs. molar fraction is the same for all the three different current densities.

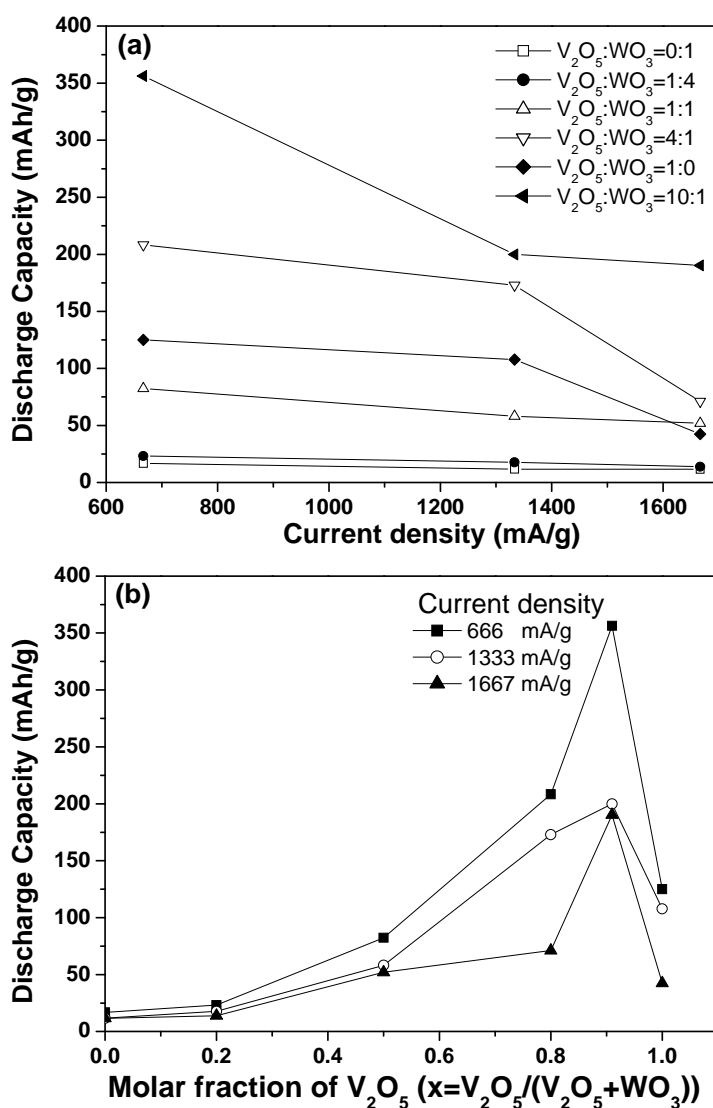


Figure 3.7 (a) Summary of the discharge capacities of various  $V_2O_5$ - $WO_3$  composite films (a) as a function of current density, and (b) as a function of molar fractions of  $V_2O_5$  (the moles of  $V_2O_5$  divided by the total moles of  $V_2O_5$  and  $WO_3$ ).

Figure 3.8 compares the cycling performances of pure  $V_2O_5$ , pure  $WO_3$  and the composite films with different  $V_2O_5/WO_3$  molar ratios at a current density of 1.33 A/g.

It can be seen that the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1 delivers the highest capacity at every cycle compared to other samples. It shows the highest initial discharge capacity of 200  $\text{mA}\cdot\text{h/g}$ , and maintains a highest capacity of 132  $\text{mA}\cdot\text{h/g}$  after 50 cycles.

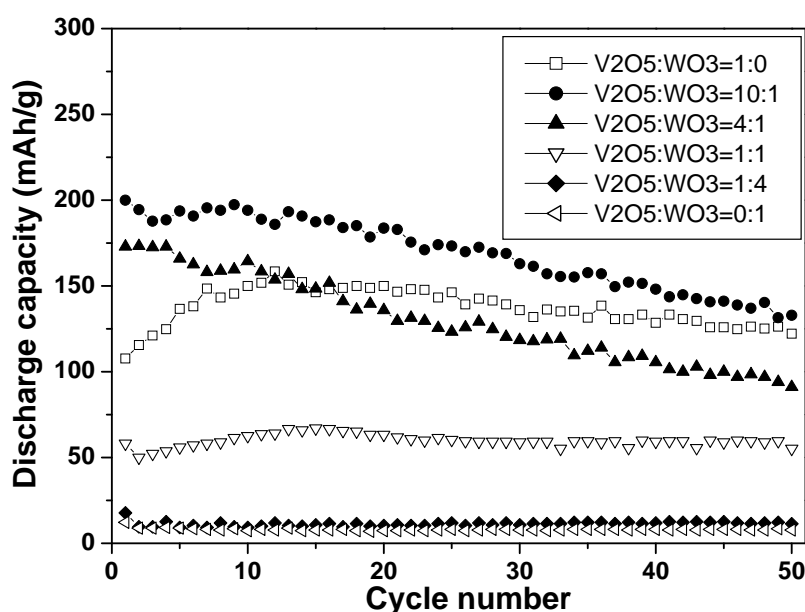


Figure 3.8 Cycling performances of  $\text{V}_2\text{O}_5$  film,  $\text{WO}_3$  film, and composite  $\text{V}_2\text{O}_5$ - $\text{WO}_3$  films with various  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratios, at a current density of 1.33 A/g.

The cycling performance of pure  $\text{V}_2\text{O}_5$  shows an increasing trend in initial cycles followed by degradation. The initial discharge capacity of pure  $\text{V}_2\text{O}_5$  film is not high, but there is an increase of the discharge capacity between the 2nd cycle and the 13th cycle. Similar phenomena have been reported in literature [99]. The increase in initial capacities of  $\text{V}_2\text{O}_5$  film can be attributed to the deterioration of crystallinity of  $\text{V}_2\text{O}_5$  during initial cycling [99]. For the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 4:1, the initial capacity is higher than that of pure  $\text{V}_2\text{O}_5$  film but lower than that of the composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1. However, this composite film with a molar ratio of 4:1 shows poor cycling performance. The

capacity decreases to 91 mA•h/g after 50 cycles, which is even lower than that of pure V<sub>2</sub>O<sub>5</sub> film (122 mA•h/g). When the molar ratio of WO<sub>3</sub> increases gradually, the capacities delivered by the composite films (V<sub>2</sub>O<sub>5</sub>:WO<sub>3</sub> =1:1, 1:4, 0:1) decrease considerably, although the capacity retention is relatively stable. These results are due to the poor electrochemical activity of WO<sub>3</sub> in this potential range as discussed earlier.

The enhanced electrochemical properties of composite films can be attributed to the reduced grain size as shown in the XRD patterns (figure 3.1) and the increased porosity as shown in the SEM images (figure 3.3 and figure 3.4). Therefore, the composite film with smaller grain size provides larger electrochemical active surface area and shorter diffusion length for the Li-ion intercalation process. The composite films show an amorphous or partially amorphous phase from the XRD patterns in figure 3.1, which facilitates the intercalation of Li ions [125].

### **3.4 Summaries for V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> Composite Films**

V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> composite films with enhanced intercalation properties have been prepared by a solution method without introducing any impurity elements. XRD, SEM and electrochemical measurements have been employed to reveal the structure and properties of these films. XRD analysis indicates that the addition of WO<sub>3</sub> greatly reduces the crystallinity of V<sub>2</sub>O<sub>5</sub>, which results in enhanced of Li-ion insertion capacities. SEM images show that fibers with different diameters are dispersed in porous films in the composite films, leading to higher surface area. Both the CV and CP curves of the V<sub>2</sub>O<sub>5</sub>-WO<sub>3</sub> film with the molar ratio of 10:1 are distinctively

different from those of  $\text{V}_2\text{O}_5$  film, suggesting a different Li-ion intercalation process in the  $\text{V}_2\text{O}_5\text{-WO}_3$  film with the molar ratio of 10:1. The  $\text{V}_2\text{O}_5\text{-WO}_3$  film with the molar ratio of 10:1 delivers the highest discharge capacities of 200  $\text{mA}\cdot\text{h/g}$  at the first cycle and 132  $\text{mA}\cdot\text{h/g}$  after 50 cycles at a current density of 1.33  $\text{A/g}$ , compared to pure oxide films and other composite films with different molar ratios; for example, the pure  $\text{V}_2\text{O}_5$  film delivers only 108  $\text{mA}\cdot\text{h/g}$  at the first cycle at the same current density. The enhanced Li-ion intercalation properties of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films are attributed to both morphological and structural changes in one oxide induced by the presence of other oxide.

## Chapter 4 Surface Coating of LiCoO<sub>2</sub> by ALD for Li-Ion Batteries

### 4.1 Introduction to LiCoO<sub>2</sub>

LiCoO<sub>2</sub> is the most popular among the possible cathode materials due to the ease of synthesis. LiCoO<sub>2</sub> can be prepared conveniently using solid state or wet chemical approaches [37-38]. It is found that mixing and heat-treated any precursors of lithium and cobalt can generate the oxide. For example, the oxide can be synthesized by heating a pelletized mixture of lithium carbonate and cobalt carbonate in air at 900°C for 20 h followed by two further heat treatments [126].

Extensive study has been conducted on Li<sub>x</sub>CoO<sub>2</sub> system thus far and Li<sub>x</sub>CoO<sub>2</sub> demonstrates excellent rechargeability at room temperature when  $0.5 < x < 1$  [127-128]. At deep charge levels ( $x < 0.5$ ), Li<sub>x</sub>CoO<sub>2</sub> is chemically instable and the oxide begins to lose oxygen ions from its lattice due to a chemical reaction with the electrolyte [129-130], and thus the cycling performance is deteriorated significantly. Furthermore, there is an undesirable phase transformation to the monoclinic phase depending on the temperature in a small concentration interval of  $x \approx 0.5$ . Specifically, the monoclinic phase exists approximately in the range  $0.48 < x < 0.52$  at room temperature [127].

In order to improve the structural stability of LiCoO<sub>2</sub>, coatings of carbon or various oxides have been studied and significant improvements in capacity retention have been demonstrated. Carbon-coating can enhance the structural stability and electrical conductivity of LiCoO<sub>2</sub> [131], but LiCoO<sub>2</sub> may be reduced to CoO or Co<sub>3</sub>O<sub>4</sub> by carbon [132]. Apart from carbon coating, surface modification of LiCoO<sub>2</sub> by

coating various oxides such as  $\text{ZrO}_2$  [77],  $\text{Al}_2\text{O}_3$  [78],  $\text{SnO}_2$  [79],  $\text{MgO}$  [80] and  $\text{ZnO}$  [43] has been widely investigated. The  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode exhibits excellent capacity retention among these oxide-coated electrodes. Two mechanisms have been proposed to explain the improved cycling performances of  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrodes: (1) the  $\text{Al}_2\text{O}_3$  serves as a separator to protect the direct contact between  $\text{LiCoO}_2$  and the electrolyte, and thus suppresses the dissolution of the  $\text{Co}^{3+}$  into the electrolyte [133-134]; (2) The coating supplies a mechanical strength to endure the lattice variation of  $\text{LiCoO}_2$  during the charge/discharge processes [135]. Different from  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$ ,  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  has received less attention. The  $\text{ZnO}$  coating can also improve the capacity retention of  $\text{LiCoO}_2$  by reducing the cobalt dissolution and preventing the inorganic surface films such as  $\text{LiF}$  from covering the  $\text{LiCoO}_2$  particles [43].

With the in-depth study of coated electrodes, it is feasible to optimize the properties of the coatings in order to improve the cycling performances further. Recently Yuhong Oh et al. reported that the cycling performance of  $\text{LiCoO}_2$  is dependent on the coverage degree of  $\text{Al}_2\text{O}_3$  coating, and a full coverage is optimal [47]. The thickness of the coating needs to be considered as well, since the coating reduces the fraction of electrochemical active material in the electrode and thick insulating coating such as  $\text{Al}_2\text{O}_3$  coating significantly decreases the electronic conductivity of the electrode [136].  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  coatings are usually synthesized by wet chemical methods following heat-treatment [40,134,137]. However, it is difficult to use these methods to coat a conformal layer of  $\text{Al}_2\text{O}_3$  or  $\text{ZnO}$  on  $\text{LiCoO}_2$

electrodes, and the thickness of the coatings is difficult to be controlled. In contrast, atomic layer deposition (ALD) is a gas-phase method. A conformal coating can be deposited on the electrodes by utilizing sequential self-limiting surface reactions [50]. The conformal coating using two ALD cycles has a thickness of only 3~4 Å, but the capacity retention increases from 45% for bare LiCoO<sub>2</sub> powders to 89% for Al<sub>2</sub>O<sub>3</sub>-coated LiCoO<sub>2</sub> powders after 120 electrochemical cycling measurements as reported by Yoon Seok Jung et al. [52]. The typical growth rate for Al<sub>2</sub>O<sub>3</sub> and ZnO ALD is 1.1–1.2 Å [138] and 2.0 Å [139] per ALD cycle, respectively. Hence, the thickness of the coating can be conveniently controlled by adjusting the cycles of ALD.

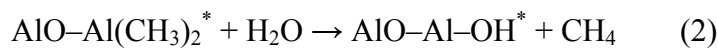
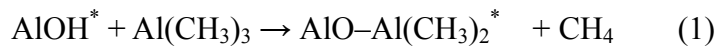
In addition, coatings are more important for LiCoO<sub>2</sub> powders with small size such as nanosized and submicrosized powders. LiCoO<sub>2</sub> powders with smaller size can deliver larger capacity due to larger surface area. However, increased contact between LiCoO<sub>2</sub> and electrolyte aggravates the undesired reactions as well. In this work, ALD is utilized to deposit Al<sub>2</sub>O<sub>3</sub> and ZnO coatings on LiCoO<sub>2</sub> electrodes comprised of submicrosized LiCoO<sub>2</sub> powders and the cycling performances of the coated LiCoO<sub>2</sub> electrodes are investigated in order to examine the effectiveness of the ultrathin conformal coatings.

## **4.2 Experimental Details**

LiCoO<sub>2</sub> powders were prepared using a molten salt synthesis method reported by Juan Fu et al. [140]. A mixture of 10.000 g Co<sub>3</sub>O<sub>4</sub> powder (99%, Alfa Aesar), 5.489 g LiOH•H<sub>2</sub>O powder (98%, Alfa Aesar) and 37.790 g KNO<sub>3</sub> powder (99.0%, Alfa Aesar)

were ground in a mortar until the color was uniform. Subsequently, the mixture was poured into an alumina crucible, moved into a muffle furnace and annealed at 600 °C in air for 8 h with heating and cooling rates of 10 °C/min. The resulting black powders were thoroughly washed with distilled water to remove residual LiOH•H<sub>2</sub>O and KNO<sub>3</sub>. Finally, the precipitates obtained were dried at 100 °C in the furnace for 24 h. LiCoO<sub>2</sub> powder, carbon black and poly(vinylidene fluoride) (PVDF) were mixed in an agate mortar with a weight ratio of 83:7.5:9.5. 1-Methyl-2-pyrrolidinone as solvent was added to the mixture and black slurry was resulted. The composite electrode was made by spreading the slurry on a piece of Ti foil. Subsequently, the composite electrode was drying at 120 °C in vacuum for 9.5 h.

The Al<sub>2</sub>O<sub>3</sub> layers were coated on the LiCoO<sub>2</sub> electrode by repeating two self-limiting surface reactions in which trimethylaluminum (TMA) and H<sub>2</sub>O were used as precursors [138,141]:



In the case of ZnO ALD, diethylzinc (DEZ) and H<sub>2</sub>O were used as the reactants. The two self-limiting surface reactions were [142-143]:

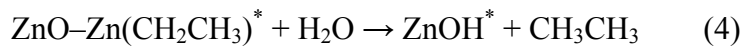
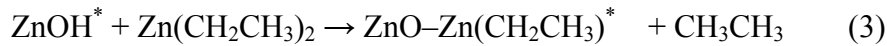


Figure 4.1 shows a schematic diagram of the ALD system (Savannah 100 & 200, Cambridge NanoTech Inc.). The electrodes were placed at the center of the reactor chamber (A). Precursors were stored in the cylinders (B) and (C), respectively. A



series of high-speed ALD pulse valves (D) and mass flow controller (E) respectively controlled the introduction of precursors and carrier gas ( $N_2$ ) into the chamber.

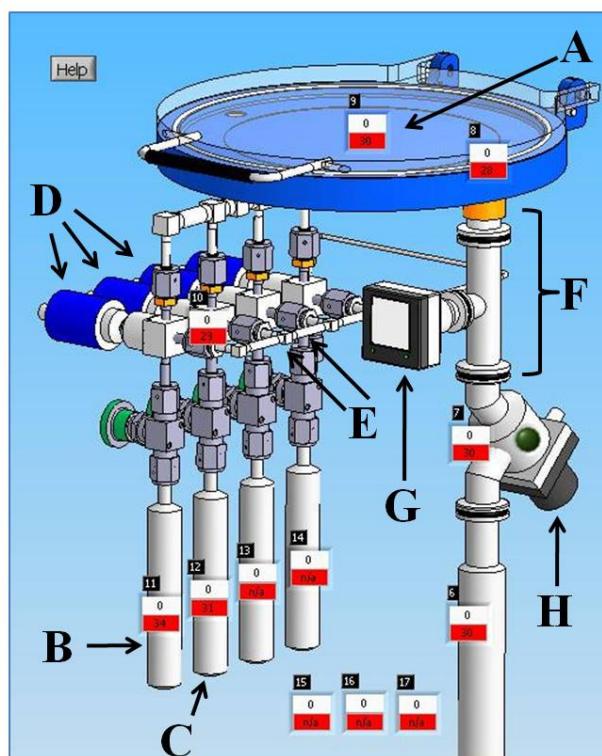


Figure 4.1 A schematic diagram of the ALD system.

The chamber was linked with a mechanical pump using a pumping line (F) in order to evacuate the chamber. There were a pressure gauge (G) used for measuring the pressure of the chamber and a stop valve (H) which controlled the connectivity between the chamber and the pumping line. The reactions occurred at a constant temperature by heating the reactor chamber at 140 °C. The cylinders containing precursors were not heated because the vapor pressures of TMA and DEZ were high enough for the ALD process. The ALD valves and the pumping line were heated to 100 °C in order to prevent the deposition of TMA or DEZ on them during the pulse and evacuation processes.

The  $\text{Al}_2\text{O}_3$  or  $\text{ZnO}$  ALD reaction sequence was: (1)  $\text{H}_2\text{O}$  pulse (0.1 s); (2)  $\text{H}_2\text{O}$  reaction (30 s); (3)  $\text{N}_2$  purge to evacuate excess  $\text{H}_2\text{O}$  (30 s); (4) TMA or DEZ pulse (0.01 s or 0.015 s); (5) TMA or DEZ reaction (5 s); (6)  $\text{N}_2$  purge to evacuate by-products and excess TMA/DEZ (30 s).

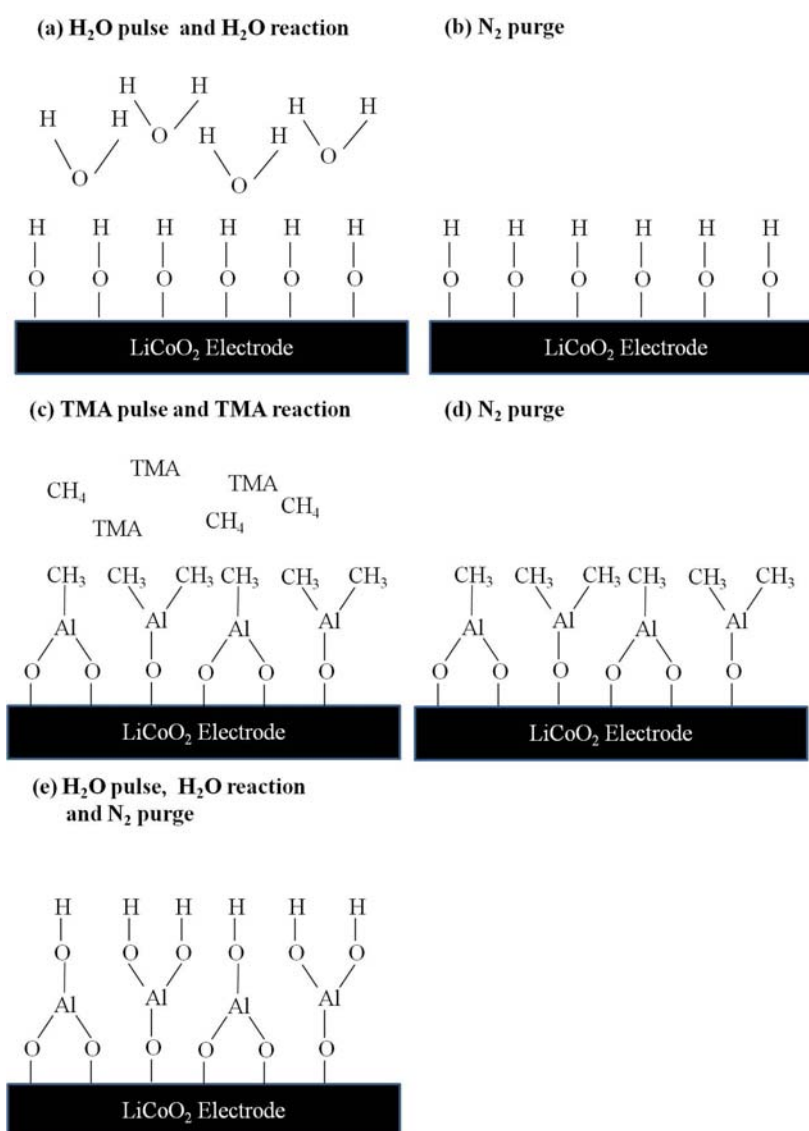


Figure 4.2 A schematic diagram of the growth of one  $\text{Al}_2\text{O}_3$  layer by ALD.

Figure 4.2 shows the first layer of  $\text{Al}_2\text{O}_3$  ALD. Figure 4.2 (a) shows a hydroxyl layer grow on the bare  $\text{LiCoO}_2$  electrode after a  $\text{H}_2\text{O}$  pulse and the reaction between  $\text{LiCoO}_2$  and  $\text{H}_2\text{O}$ . Figure 4.2 (b) shows the excess  $\text{H}_2\text{O}$  is purged by  $\text{N}_2$ . Figure 4.2

(c) and (d) show a layer of  $\text{AlO-Al}(\text{CH}_3)_2^*$  grow on the  $\text{LiCoO}_2$  electrode, and by-products ( $\text{CH}_4$ ) and excess TMA are purged by  $\text{N}_2$ . Figure 4.2 (e) shows that a layer of  $\text{AlO-Al-OH}^*$  is on the  $\text{LiCoO}_2$  electrode after another  $\text{H}_2\text{O}$  pulse and  $\text{N}_2$  purge. Four  $\text{Al}_2\text{O}_3$  or  $\text{ZnO}$  layers were coated on bare  $\text{LiCoO}_2$  electrode. After the ALD process, the coated electrode was gradually cooled to room temperature.

The crystalline phase of the bare  $\text{LiCoO}_2$  electrode was analyzed by X-ray diffraction (XRD) using a Rigaku MiniFlex diffractometer with  $\text{CuK}\alpha$  radiation operated at 30 kV and 15 mA. Scanning electron microscopy (SEM, Quantum 3D FEG) was used to examine the morphology of the bare  $\text{LiCoO}_2$  powders. A three-electrode cell was used to investigate the electrochemical properties of the bare and coated  $\text{LiCoO}_2$  electrodes on Ti foils. A platinum mesh was used as counter electrode and a silver wire in a 0.1 mol/L  $\text{AgNO}_3$  ethanol solution served as the reference electrode. The electrolyte was a 1 mol/L solution of lithium perchlorate (99%, Alfa Aesar) in propylene carbonate (99%, Aldrich). The galvanostatic charge-discharge cycling measurements were carried out at a potential limit of -0.3 and 0.9 V versus  $\text{Ag/Ag}^+$  under a current density of 140 mA/g using a potentiostat/galvanostat (Model 605C, CH Instrument).

### 4.3 Results and Discussion

Figure 4.3 shows the XRD pattern of the  $\text{LiCoO}_2$  powders produced by solid-state reaction of  $\text{Co}_3\text{O}_4$ ,  $\text{LiOH}\cdot\text{H}_2\text{O}$  and  $\text{KNO}_3$ . It can be clearly seen that there are several diffraction peaks in the pattern, such as (003) peak at  $2\theta=18.7^\circ$  and (104) peak at  $2\theta=45.1^\circ$ . All the peaks are indexed to  $\text{LiCoO}_2$  (JCPDS card No. 16-0427).  $\text{LiCoO}_2$  has

a layered structure described by the space group  $R\bar{3}m(166)$ . In this structure, a trigonal unit cell consisting of a cubic close packed oxygen lattice with  $\text{Li}^+$  ions and  $\text{Co}^{3+}$  ions occupying two sets of octahedral interstitial sites respectively (O 6c sites, Li 3a sites, Co 3b sites) [37]. Due to the strong ionicity of the Co-O bond, the lattice can be regarded as slabs of edge sharing  $\text{CoO}_6$  octahedra that are separated by layers of  $\text{Li}^+$  ions [144].

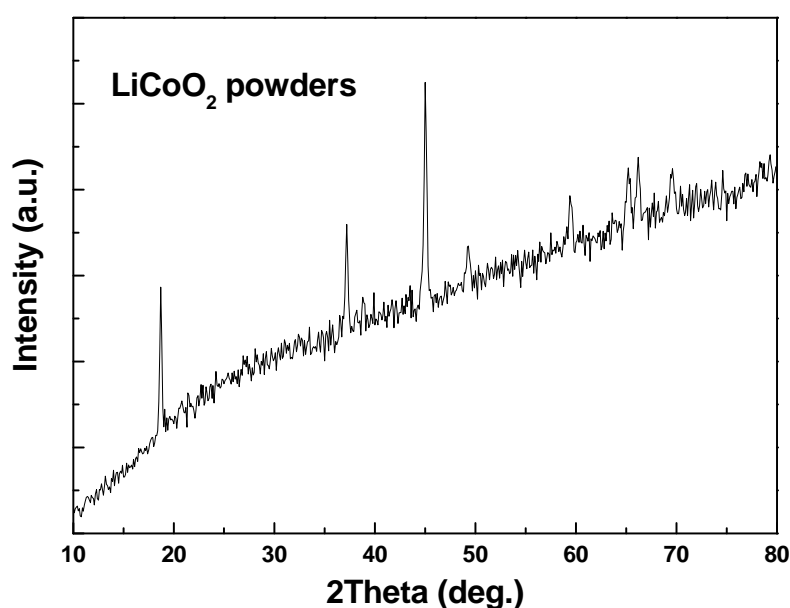


Figure 4.3 X-ray diffraction pattern of the  $\text{LiCoO}_2$  powders prepared by solid-state reaction.

Figure 4.4 shows top-view SEM images of  $\text{Co}_3\text{O}_4$  powders and the as-synthesized  $\text{LiCoO}_2$  powders. A lithiation process occurred in this molten salt synthesis method and thus the  $\text{LiCoO}_2$  crystal grew up with  $\text{Co}_3\text{O}_4$  as core [140]. Therefore, the  $\text{LiCoO}_2$  powders show similar particle sizes to the  $\text{Co}_3\text{O}_4$  powders and most of the powders agglomerate together. These  $\text{LiCoO}_2$  powders are polygonal particles with broad particle size distribution. The diameters of the particles range from  $\sim 300$  nm to  $\sim 750$  nm. Particles with smaller diameters have larger surface area and resulting enhanced

electrochemical activity. However, the contact area between  $\text{LiCoO}_2$  and the electrolyte is enlarged as well, and thus the dissolution of  $\text{Co}^{3+}$  and the side reaction between  $\text{LiCoO}_2$  and the electrolyte are facilitated, which will deteriorate the cycling performance.

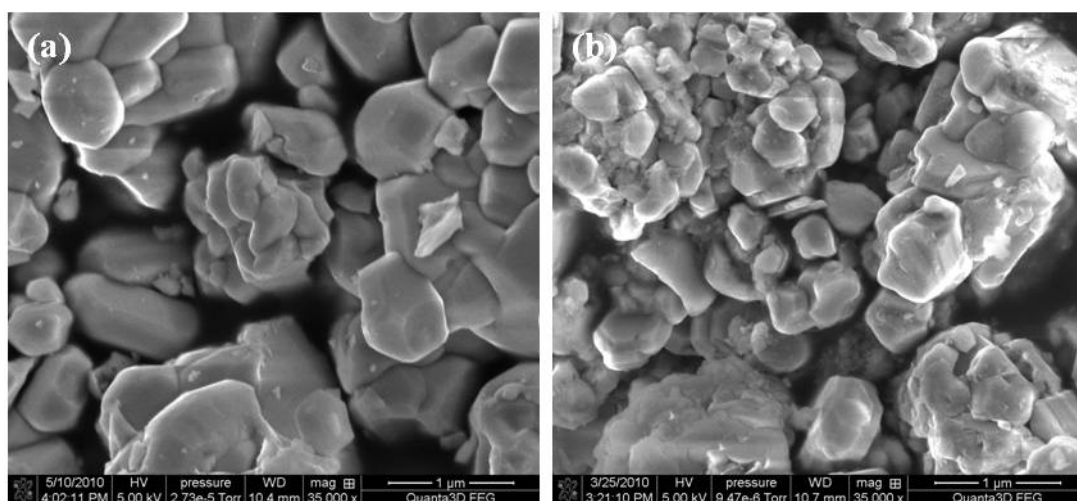


Figure 4.4 SEM micrographs showing surface morphologies of (a)  $\text{Co}_3\text{O}_4$  powders and (b) as-synthesized  $\text{LiCoO}_2$  powders.

Figure 4.5 compares the cycling performances of bare,  $\text{Al}_2\text{O}_3$ -coated and  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrodes in the range of  $-0.3$ – $0.9$  V (vs.  $\text{Ag}/\text{Ag}^+$ ) with a current density of  $140$  mA/g in first 20 cycles. The bare  $\text{LiCoO}_2$  electrode delivers the highest initial discharge capacity of  $93.0$  mA•h/g compared to those delivered by the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode ( $81.4$  mA•h/g) and  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode ( $78.9$  mA•h/g). The lower initial capacities of the coated electrodes are ascribed to the poor electronic conductivity of  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  coatings. However, the capacity retention of the bare  $\text{LiCoO}_2$  electrode fades rapidly: it delivers discharge capacities of  $21.3$  mA•h/g after 10 cycles and  $9.1$  mA•h/g after 20 cycles. Although the capacity delivered by  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode is lower than bare  $\text{LiCoO}_2$  electrode in

the initial four cycles, the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode shows improved cycling performance compared to the bare  $\text{LiCoO}_2$  electrode.

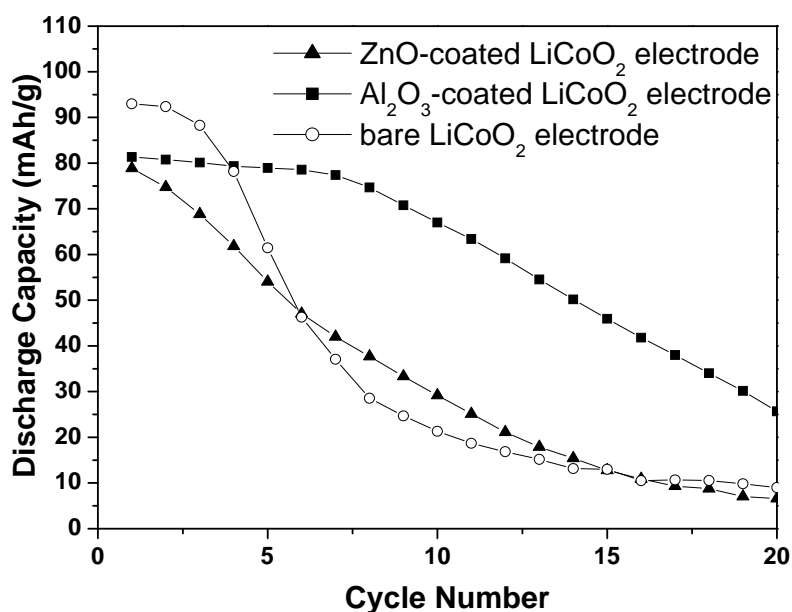


Figure 4.5 Cycle performances of bare,  $\text{Al}_2\text{O}_3$ -coated, and  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrodes at a current density of 140 mA/g.

For example, the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode can deliver discharge capacities of 67.0  $\text{mA}\cdot\text{h/g}$  after 10 cycles and 25.9  $\text{mA}\cdot\text{h/g}$  after 20 cycles. In contrast, the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode does not exhibit enhanced capacity retention compared to the bare  $\text{LiCoO}_2$  electrode. The  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode delivers a slightly higher capacity of 29.2  $\text{mA}\cdot\text{h/g}$  at the 10th cycle, but it delivers 6.6  $\text{mA}\cdot\text{h/g}$  at the 20th cycle which is even lower than that delivered by the bare  $\text{LiCoO}_2$  electrode at the same cycle.

Figure 4.6 compares the chronopotentiometric (CP) curves of the  $\text{Al}_2\text{O}_3$ -coated,  $\text{ZnO}$ -coated and bare  $\text{LiCoO}_2$  electrodes at 1st, 5th and 10th discharge processes. The curves of the bare  $\text{LiCoO}_2$  electrodes show a stage starting at  $\sim 0.24$  V in the 1st discharge process as shown in figure 4.6 (a), indicating a phase transition occurs

during the Li-ion intercalation process. However, the stage disappears in the curves at the 5th and 10th cycle, indicating the deterioration of crystallinity of the bare  $\text{LiCoO}_2$ .

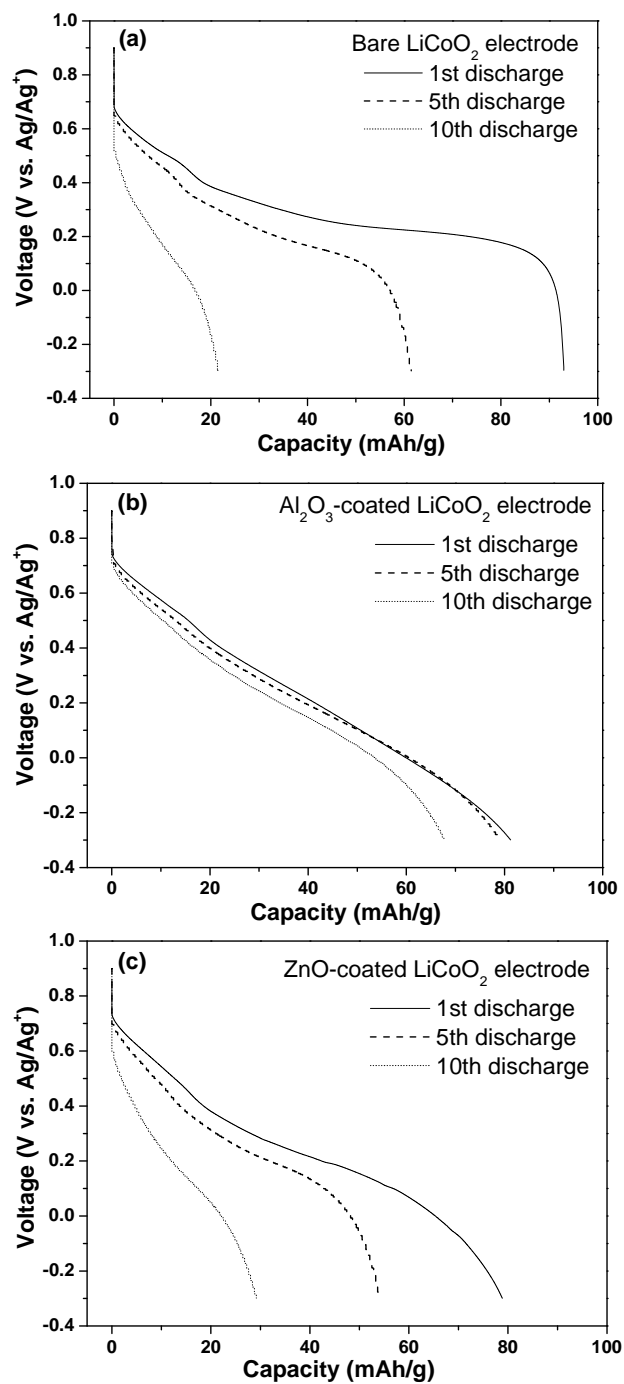


Figure 4.6 The 1st, 5th and 10th discharge voltage profiles of bare,  $\text{Al}_2\text{O}_3$ -coated and  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrodes at a current density of 140 mA/g.

In addition, the curves of the bare  $\text{LiCoO}_2$  electrode exhibit large overpotential with the increase of the cycle number, which is ascribed to large polarization of the bare  $\text{LiCoO}_2$  electrode. On the other hand, the curves of the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode show a sloping shape without stages, and the polarization of the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode is inhibited as shown in figure 4.6 (b). Figure 4.6 (c) shows that the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode shows similar CP curves with the bare  $\text{LiCoO}_2$  electrode: large overpotentials are observed in the CP curves and the capacity retention fades rapidly.

As mentioned in the introduction section, there are two possible mechanisms for the enhanced capacity retention of the  $\text{LiCoO}_2$  electrodes coated by  $\text{Al}_2\text{O}_3$ . In this work, the  $\text{Al}_2\text{O}_3$  coating is ultrathin, so it cannot offer enough mechanical strength to suppress the lattice change caused by the phase transition during the electrochemical measurements. However, this conformal coating can indeed prevent the direct contact between  $\text{LiCoO}_2$  and the electrolyte, and thus suppress the dissolution of  $\text{Co}^{3+}$  and the side reaction with electrolyte. In contrast, the  $\text{ZnO}$  coating does not improve the cycling performance of the  $\text{LiCoO}_2$  electrode, because it is not electrochemical stable [48].

#### **4.4 Summaries for Surface Coating of $\text{LiCoO}_2$ Electrodes**

Ultrathin conformal  $\text{Al}_2\text{O}_3$  and  $\text{ZnO}$  films are coated on  $\text{LiCoO}_2$  electrodes by ALD. The  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode exhibits improved cycling stability during the electrochemical measurements, while the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode does not show an improved cycling performance. For example, the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$



electrode can deliver discharge capacities of 67.0 mA•h/g after 10 cycles and 25.9 mA•h/g after 20 cycles, while the bare LiCoO<sub>2</sub> electrode delivers discharge capacities of 21.3 mA•h/g after 10 cycles and 9.1 mA•h/g after 20 cycles, and the ZnO-coated LiCoO<sub>2</sub> electrode delivers capacities of 29.2 mA•h/g at the 10th cycle and 6.6 mA•h/g at the 20th cycle.

## Chapter 5 Concluding Remarks

This thesis reports enhanced Li-ion intercalation properties of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films and  $\text{LiCoO}_2$  electrode with nanosized thin coating.

A solution method has been applied to prepared  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films without introducing any impurity elements. The  $\text{V}_2\text{O}_5\text{-WO}_3$  composite film with a  $\text{V}_2\text{O}_5/\text{WO}_3$  molar ratio of 10:1 exhibits enhanced discharge capacities due to the amorphous structure and larger surface area as indicated by XRD analysis and SEM images, respectively. A different Li-ion intercalation process in the  $\text{V}_2\text{O}_5\text{-WO}_3$  film is observed as shown in the CV and CP curves. The  $\text{V}_2\text{O}_5\text{-WO}_3$  film with the molar ratio of 10:1 delivers the highest discharge capacities of 200  $\text{mA}\cdot\text{h/g}$  at the first cycle and 132  $\text{mA}\cdot\text{h/g}$  after 50 cycles at a current density of 1.33 A/g, compared to pure oxide films and other composite films with different molar ratios; for example, the pure  $\text{V}_2\text{O}_5$  film delivers a capacity of only 108  $\text{mA}\cdot\text{h/g}$  at the first cycle at the same current density. The enhanced Li-ion intercalation properties of  $\text{V}_2\text{O}_5\text{-WO}_3$  composite films are attributed to both morphological and structural changes in one oxide induced by the presence of other oxide.

The conformal ultrathin surface coating on  $\text{LiCoO}_2$  are achieved by atomic layer deposition. The  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode exhibits enhanced capacity retention during the electrochemical cycling measurements due to the inhibition of cobalt dissolution and side reaction. In contrast, the  $\text{ZnO}$ -coated  $\text{LiCoO}_2$  electrode does not show an improved cycling performance due to the electrochemical instability of the  $\text{ZnO}$  coating. For example, the  $\text{Al}_2\text{O}_3$ -coated  $\text{LiCoO}_2$  electrode delivers a discharge

capacity of 67.0 mA•h/g after 10 cycles, while the bare and ZnO-coated LiCoO<sub>2</sub> electrodes deliver discharge capacities of 21.3 mA•h/g and 29.2 mA•h/g after 10 cycles, respectively.

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